

COVID-19 Business Reopening Self-Certifications

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Issue

This report provides summary data on Connecticut businesses that have self-certified to reopen during the COVID-19 pandemic pursuant to the Department of Economic and Community Development's (DECD) Sector Rules.

Background – Business Reopening Timeline

Following Governor Lamont's <u>Executive Order No. 7PP</u>, <u>Phase 1</u> of the state's business reopening officially began on May 20, 2020, allowing certain non-essential businesses and nonprofits to reopen pursuant to <u>DECD's Sector Rules</u>. Phases 2 and 3 of the reopening subsequently commenced on June 17 and October 8, respectively, expanding the sectors permitted to reopen and lessening restrictions on capacity. Due to increasing rates of COVID-19, Governor Lamont ordered businesses to roll back to Phase 2.1 rules – a slightly modified version of the previously enacted Phase 2 rules – effective November 6. A comparison of Phases 2, 2.1, and 3 rules can be found on DECD's <u>"Sector Rules and Certification for Reopen" webpage</u>.

Throughout all phases of the reopening, DECD has required businesses to <u>self-certify</u> they are following specified safety guidelines before reopening. DECD provides an up-to-date <u>searchable list</u> <u>of self-certified businesses</u>. Businesses are only required to self-certify once (i.e., a business that self-certified during Phase 1 is not required to do so again during future phases).

Connecticut General Assembly Office of Legislative Research Stephanie A. D'Ambrose, Director

Business Reopening Self-Certification Data

Table 1 below provides summary data on businesses that have self-certified from May 22 to December 11, 2020. We have disaggregated this data by sector, industry, county, and whether selfcertifying businesses are women-owned, veteran-owned, or a minority business enterprise. The data is generally presented in four-week increments, with the exception of the first dataset on May 22, which is five weeks prior to the next dataset on June 26. We include the May 22 dataset to capture the first wave of self-certifications leading up to the start of Phase 1 on May 20. Note, not all businesses that have self-certified are currently open. Businesses may have self-certified and subsequently closed, or self-certified and never officially reopened.

Summary

By May 22, 9,431 businesses had self-certified to reopen. Over the next four weeks, over 10,000 additional businesses self-certified, bringing the total number to 19,566. Following this initial surge preceding and during Phase 1 and the early weeks of Phase 2, self-certifications began to stabilize with 1,773 between June 26 and July 24 and 810 between July 24 and August 21. In the following four four-week periods between August 21 and December 11, self-certifications never exceeded 489. Additionally, there was no substantial uptick during the transition from Phase 2 to Phase 3 as DECD's Sector Rules generally changed to allow increased capacity as opposed to allowing a number of new sectors to reopen for the first time – as was the case during the transition from Phase 2.

Following the start of Phase 2, the distribution of self-certifications by sector changed little over the remainder of the summer and fall. As of December 11, restaurants made up the largest share of self-certifications (23.7%), followed by offices (21.9%), retail and malls (17.2%), and hair salons and barbershops (14%). Similarly, the distribution of self-certifications by industry has generally remained stable throughout the pandemic, with "Other Services (except Public Administration)" and "Accommodation and Food Services" categories comprising well over 50% of total self-certifications. Geographically, businesses located in Fairfield (31.6%), Hartford (23.3%), and New Haven (21.3%) counties make up the highest shares of self-certifications. The shares of women-owned businesses (30.4%), minority business enterprises (11.9%), and veteran-owned businesses (2.2%) self-certifying has also remained steady over the course of the pandemic, though a slowly increasing share of businesses self-certifying have opted not to identify whether they fall into one of these three categories.

Table 1: Connecticut Business Reopening Self-Certifications Disaggregated by Selected Characteristics, May 22–December 11, 2020

| | 22-May | | 26-Jun | | 24-Jul | | 21-Aug | | 18-Sep | | 16-0ct | | 13-Nov | | 11-Dec | |
|---|-----------------|---|------------------|--------------|---|---|-------------------|--|------------|-------|------------|--------------|------------|---|------------|-------|
| SELF-CERTIFICATION BY SECTOR | COUNT | SHARE | COUNT | SHARE | COUNT | SHARE | COUNT | SHARE | COUNT | SHARE | COUNT | SHARE | COUNT | SHARE | COUNT | SHARE |
| Amusement Parks | - | - | 6 | 0.0% | 9 | 0.0% | 9 | 0.0% | 9 | 0.0% | 11 | 0.0% | 12 | 0.1% | 14 | 0.1% |
| Film, Television & Digital Media | 1 | 10 % 5 | 11 | 0.1% | 36 | 0.2% | 50 | 0.2% | 64 | 0.3% | 82 | 0.4% | 105 | 0.4% | 111 | 0.5% |
| Hair Salons & Barbershops | 2,033 | 21.6% | 3,168 | 16.2% | 3,262 | 15.3% | 3,283 | 14.8% | 3,244 | 14.4% | 3,277 | 14.3% | 3,308 | 14.1% | 3.329 | 14.0% |
| Indoor Recreation | | - | 295 | 1.5% | 377 | 1.8% | 419 | 1.9% | 449 | 2.0% | 476 | 2.1% | 504 | 2.2% | 515 | 2.2% |
| Libraries | | | 61 | 0.3% | 116 | 0.5% | 131 | 0.6% | 140 | 0.6% | 150 | 0.7% | 153 | 0.7% | 154 | 0.6% |
| Lodging/Hotels | ÷ | 1. | 271 | 1.4% | 306 | 1.4% | 319 | 1.4% | 323 | 1.4% | 328 | 1.4% | 337 | 1.4% | 338 | 1.4% |
| Museums and Zoos (Outdoor Only) | 113 | 1.2% | 130 | 0.7% | 130 | 0.6% | 127 | 0.6% | 124 | 0.6% | 124 | 0.5% | 124 | 0.5% | 124 | 0.5% |
| Museums, Zoos and Aquariums | | | 46 | 0.2% | 85 | 0.4% | 92 | 0.4% | 100 | 0.4% | 107 | 0.5% | 109 | 0.5% | 110 | 0.5% |
| Offices | 2,498 | 26.5% | 4,337 | 22.2% | 4,735 | 22.2% | 4,895 | 22.1% | 4,988 | 22.2% | 5,076 | 22.1% | 5,156 | 22.0% | 5,192 | 21.9% |
| Outdoor Events | 4 | 1. A | 64 | 0.3% | 122 | 0.6% | 161 | 0.7% | 186 | 0.8% | 205 | 0.9% | 211 | 0.9% | 215 | 0.9% |
| Outdoor Recreation | 47 | 0.5% | 316 | 1.6% | 359 | 1.7% | 377 | 1.7% | 385 | 1.7% | 399 | 1.7% | 405 | 1.7% | 407 | 1.7% |
| Personal Services | | | 1,641 | 8.4% | 1,830 | 8.6% | 1,919 | 8.7% | 1,969 | 8.8% | 2,024 | 8.8% | 2,075 | 8.9% | 2,099 | 8.8% |
| Restaurants | | 0.00 | 1,667 | 8.5% | 2,105 | 9.9% | 2,371 | 10.7% | 2,519 | 11.2% | 2,665 | 11.6% | 2,819 | 12.0% | 2,986 | 12.6% |
| Restaurants (Outdoor Only) | 2,194 | 23.3% | 2,695 | 13.8% | 2,695 | 12.6% | 2,690 | 12.1% | 2,626 | 11.7% | 2,626 | 11.4% | 2,626 | 11.2% | 2,626 | 11.1% |
| Retail & Malls | 2,544 | 27.0% | 3,698 | 18.9% | 3,880 | 18.2% | 3,989 | 18.0% | 3,980 | 17.7% | 4,016 | 17.5% | 4,045 | 17.3% | 4,080 | 17.2% |
| Senior Centers | 8) R | - | 1 | 1 4 1 | - | | 1 2 | - | 10 | 0.0% | 25 | 0.1% | 28 | 0.1% | 31 | 0.1% |
| Sports, Sports Clubs/Complexes, Gyms, Fitness Ctrs., & Pools | - 34 - L | (a) | 1.144 | 5.8% | 1.275 | 6.0% | 1,300 | 5.9% | 1.330 | 5.9% | 1.344 | 5.9% | 1,366 | 5.8% | 1,380 | 5.8% |
| University Research | 2 | 2 0.0% | 16 | 0.1% | 17 | 0.1% | 17 | 0.1% | 18 | 0.1% | 18 | 0.1% | 18 | 0.1% | 18 | 0.1% |
| Total | 9,431 | - | 19,566 | S | 21,339 | - 31 | 22,149 | | 22,464 | - | 22,953 | 12 | 23,401 | | 23,729 | 2 |
| | <u>1</u> | | - | | | | - | | | | - | | - | | - | |
| | 22-May | | 26-Jun | | 24-Jul | | 21-Aug | | 18-Sep | | 16-0ct | | 13-Nov | | 11-Dec | |
| SELF-CERTIFICATION BY INDUSTRY | COUNT | SHARE | COUNT | SHARE | COUNT | SHARE | COUNT | SHARE | COUNT | SHARE | COUNT | SHARE | COUNT | SHARE | COUNT | SHARE |
| Accommodation and Food Services | 2,336 | | 4,771 | 24.4% | 5,240 | | 5,516 | 24.9% | 5,622 | 25.0% | 5,780 | 25.2% | 5,945 | 25.4% | 6,107 | 25.7% |
| Admin. and Support and Waste Mngmt. and Remediation Svc. | 19 | | 37 | 0.2% | 42 | and the second se | 42 | 0.2% | 41 | 0.2% | 42 | 0.2% | 43 | 0.2% | 44 | 0.2% |
| Agriculture, Forestry, Fishing and Hunting | 118 | | 191 | 1.0% | 198 | 0.9% | 207 | 0.9% | 204 | 0.9% | 209 | 0,9% | 213 | 0.9% | 215 | 0.9% |
| Arts, Entertainment, and Recreation | 601 | | 2,099 | | 2,401 | 11.3% | 2,505 | 11.3% | 2,586 | 11.5% | 2,668 | 11.6% | 2,737 | 11.7% | 2,774 | 11.7% |
| Construction | 258 | the second se | 325 | 1.7% | 341 | 1.6% | 349 | 1.6% | 352 | 1.6% | 360 | 1.6% | 368 | 1.6% | 368 | 1.6% |
| Educational Services | 149 | | 572 | 2.9% | 683 | | 709 | 3.2% | 728 | 3.2% | 747 | 3.3% | 764 | 3.3% | 768 | 3.2% |
| Finance and Insurance | 280 | the second se | 709 | 3.6% | 787 | 3.7% | 820 | 3.7% | 846 | 3.8% | 856 | 3.7% | 873 | 3.7% | 886 | 3.7% |
| Health Care and Social Assistance | 791 | 8.4% | 1,746 | 8.9% | 1,872 | 8.8% | 1,950 | 8.8% | 1,961 | 8.7% | 2,007 | 8.7% | 2,047 | 8.7% | 2,072 | 8.7% |
| Information | 71 | | 130 | 0.7% | 171 | 0.8% | 181 | 0.8% | 184 | 0.8% | 190 | 0.8% | 195 | 0.8% | 197 | 0.8% |
| Management of Companies and Enterprises | 98 | | 159 | 0.8% | 165 | 0.8% | 165 | 0.7% | 163 | 0.7% | 165 | 0.7% | 165 | 0.7% | 168 | 0.7% |
| Mining, Quarrying, and Oil and Gas Extraction | 6 | - AF+ A 191 | 8 | 0.0% | 9 | 0.0% | 9 | 0.0% | 9 | 0.0% | 9 | 0.0% | 9 | | 9 | 0.0% |
| | 3,344 | | 6,384 | 32.6% | 6,826 | - | 7,035 | 31.8% | 7,090 | 31.6% | 7.204 | 31.4% | 7,282 | 31.1% | 7,337 | 30.9% |
| Other Services (except Public Administration) | | | 1 220 | 6.8% | 1.419 | 6.6% | 1.440 | 6.5% | 1,450 | 6.5% | 1.474 | 6.4% | 1,496 | 6.4% | 1,506 | 6.3% |
| Other Services (except Public Administration) Professional, Scientific, and Technical Services | 693 | | 1,332 | | 1,710 | | | the state of the s | | | | | | and the second se | | 0.000 |
| | 37 | 0.4% | 89 | 0.5% | 111 | 0.5% | 113 | 0.5% | 119 | 0.5% | 122 | 0.5% | 125 | 0.5% | 127 | 0.5% |
| Professional, Scientific, and Technical Services | - | 0.4% | | 0.5% | the second se | 0.5% | | 0.5% 2.7% | 119 602 | 2.7% | 122 608 | 0.5% | 125 617 | 0.5% 2.6% | 127 | 0.5% |
| Professional, Scientific, and Technical Services Public Administration | 37 290 67 | 0.4% | 89 534 134 | 0.5% | 111 578 140 | 0.5% | 113 599 146 | 0.5% 2.7% 0.7% | 602 145 | | 608 145 | 2.6% 0.6% | 617 146 | 2.6% 0.6% | 620 149 | |
| Professional, Scientific, and Technical Services Public Administration Real Estate and Rental and Leasing | 37 | 0.4% | 89 534 | 0.5% 2.7% | 111 578 | 0.5% 2.7% | 113 599 | 0.5% 2.7% | 602 | 2.7% | 608 | 2.6% | 617 | 2.6% | 620 | 2.6% |

Table 1 (continued)

| | 22-1 | 22-May | | 26-Jun | | 24-Jul | | 21-Aug | | 18-Sep | | 16-0ct | | 13-Nov | | 11-Dec | |
|-------------------------------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--|
| SELF-CERTIFICATION BY COUNTY | COUNT | SHARE | |
| Fairfield County | 2,962 | 31.4% | 6,027 | 30.8% | 6,640 | 31.1% | 6,946 | 31.4% | 7,086 | 31.5% | 7,234 | 31.5% | 7,361 | 31.5% | 7,501 | 31.6% | |
| Hartford County | 2,215 | 23.5% | 4,717 | 24.1% | 5,088 | 23.8% | 5,237 | 23.6% | 5,269 | 23.5% | 5,373 | 23.4% | 5,460 | 23.3% | 5,528 | 23.3% | |
| Litchfield County | 462 | 4.9% | 959 | 4.9% | 1,055 | 4.9% | 1,085 | 4.9% | 1,089 | 4.8% | 1,117 | 4.9% | 1,147 | 4.9% | 1,160 | 4.9% | |
| Middlesex County | 560 | 5.9% | 1,106 | 5.7% | 1,179 | 5.5% | 1,214 | 5.5% | 1,223 | 5.4% | 1,244 | 5.4% | 1,264 | 5.4% | 1,273 | 5.4% | |
| New Haven County | 2,037 | 21.6% | 4,209 | 21.5% | 4,567 | 21.4% | 4,729 | 21.4% | 4,797 | 21.4% | 4,898 | 21.3% | 5,006 | 21.4% | 5,058 | 21.3% | |
| New London County | 732 | 7.8% | 1,555 | 7.9% | 1,710 | 8.0% | 1,798 | 8.1% | 1,846 | 8.2% | 1,894 | 8.3% | 1,940 | 8.3% | 1,972 | 8.3% | |
| Tolland County | 233 | 2.5% | 512 | 2.6% | 554 | 2.6% | 569 | 2.6% | 578 | 2.6% | 601 | 2.6% | 608 | 2.6% | 615 | 2.6% | |
| Windham County | 230 | 2.4% | 481 | 2.5% | 546 | 2.6% | 571 | 2.6% | 576 | 2.6% | 592 | 2.6% | 615 | 2.6% | 622 | 2.6% | |
| Total | 9,431 | + | 19,566 | | 21,339 | | 22,149 | 1.2 | 22,464 | - 2 | 22,953 | | 23,401 | | 23,729 | + | |
| | 22-May | | 26-Jun | | 24-Jul | | 21-Aug | | 18-Sep | | 16-0ct | | 13-Nov | | 11-Dec | | |
| WOMEN-OWNED BUSINESS STATUS | COUNT | SHARE | |
| Yes | 3,132 | 33.2% | 6,236 | 31,9% | 6,659 | 31.2% | 6,830 | 30.8% | 6,875 | 30.6% | 6,991 | 30.5% | 7.124 | 30.4% | 7,212 | 30.4% | |
| No | 6,063 | 64.3% | 9,518 | 48.6% | 10,152 | 47.6% | 10,381 | 46.9% | 10,446 | 46.5% | 10,609 | 46.2% | 10,739 | 45.9% | 10,853 | 45.7% | |
| Choose not to answer | 236 | 2.5% | 3,812 | 19.5% | 4,528 | 21.2% | 4,938 | 22.3% | 5,143 | 22.9% | 5,353 | 23.3% | 5,538 | 23.7% | 5,664 | 23.9% | |
| Total | 9,431 | + | 19,566 | (H) | 21,339 | - | 22,149 | - | 22,464 | | 22,953 | | 23,401 | - | 23,729 | - | |
| | 22-May | | 26-Jun | | 24-Jul | | 21-Aug | | 18-Sep | | 16-0ct | | 13-Nov | | 11-Dec | | |
| MINORITY BUSINESS ENTERPRISE STATUS | COUNT | SHARE | |
| Yes | 1,098 | 11.6% | 2,317 | 11.8% | 2,493 | 11.7% | 2,577 | 11.6% | 2,608 | 11.6% | 2,666 | 11.6% | 2,751 | 11.8% | 2,829 | 11.9% | |
| No | 8,040 | 85.3% | 12,432 | 63.5% | 13,171 | 61.7% | 13,443 | 60.7% | 13,484 | 60.0% | 13,660 | 59.5% | 13,809 | 59.0% | 13,909 | 58.6% | |
| Choose not to answer | 293 | 3.1% | 4,817 | 24.6% | 5,675 | 26.6% | 6,129 | 27.7% | 6,372 | 28.4% | 6,627 | 28.9% | 6,841 | 29.2% | 6,991 | 29.5% | |
| Total | 9,431 | | 19,566 | (a) | 21,339 | + | 22,149 | | 22,464 | - 42 | 22,953 | | 23,401 | | 23,729 | | |
| | 22-May | | 26-Jun | | 24-Jul | | 21-Aug | | 18-Sep | | 16-0ct | | 13-Nov | | 11-Dec | | |
| VETERAN-OWNED BUSINESS STATUS | COUNT | SHARE | |
| Yes | 227 | 2.4% | 428 | 2.2% | 463 | 2.2% | 477 | 2.2% | 479 | 2.1% | 499 | 2.2% | 508 | 2.2% | 521 | 2.2% | |
| No | 8,922 | 94.6% | 14,481 | 74.0% | 15,396 | 72.1% | 15,727 | 71.0% | 15,792 | 70.3% | 16.017 | 69.8% | 16,238 | 69.4% | 16,407 | 69.1% | |
| Choose not to answer | 282 | 3.0% | 4,657 | 23.8% | 5,480 | 25.7% | 5,945 | 26.8% | 6,193 | 27.6% | 6,437 | 28.0% | 6,655 | 28.4% | 6,801 | 28.7% | |
| Total | 9,431 | | 19,566 | 1.1411 | 21,339 | - | 22,149 | 124 | 22,464 | - 63 L | 22,953 | 1 | 23,401 | - | 23,729 | - | |

Source: OLR analysis of <u>DECD Business Reopening Self-Certifications</u>

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