

# Senate

General Assembly

File No. 741

January Session, 2025

Senate Bill No. 1501

Senate, April 23, 2025

The Committee on Judiciary reported through SEN. WINFIELD of the 10th Dist., Chairperson of the Committee on the part of the Senate, that the bill ought to pass.

### AN ACT CONCERNING PROBATE COURT OPERATIONS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

Section 1. Subsection (c) of section 7-48 of the general statutes is
 repealed and the following is substituted in lieu thereof (*Effective from passage*):

4 (c) (1) When a birth occurs outside an institution, the certificate shall 5 be prepared and filed by the physician or midwife in attendance at or 6 immediately after the birth or, in the absence of such a person, by the 7 parent of the child, pursuant to the provisions of section 19a-41-1 of the 8 regulations of Connecticut state agencies.

9 (2) If the parent is unable to provide the information required to 10 prepare and file the certificate pursuant to the provisions of section 19a-11 41-1 of the regulations of Connecticut state agencies, such parent <u>or the</u> 12 <u>legal guardian of the child</u> may, prior to the child's first birthday, 13 petition the [court of probate] <u>Probate Court</u> for the district where the 14 birth is alleged to have occurred for an order requiring the registrar of

15 vital statistics for the town where the birth occurred to create and file 16 the certificate. The petitioner shall include with the petition the 17 affidavits and other documentary evidence submitted to the registrar 18 pursuant to the provisions of section 19a-41-1 of the regulations of 19 Connecticut state agencies. Such court shall schedule a hearing and 20 cause notice of the hearing to be given to the following persons: (A) The 21 petitioner; (B) the parent or legal guardian of the child, if the parent or 22 legal guardian are not the petitioner; (C) the registrar; and (D) any other 23 person as the court may determine has an interest in the hearing. The 24 registrar or the registrar's authorized representative may appear and 25 testify at such hearing. The petitioner shall have the burden of proving 26 the parentage of the child and that the birth occurred on the date and at 27 the place alleged by the petitioner. If the court finds by a preponderance 28 of the evidence the parentage of the child and that the birth occurred on 29 the date and at the place alleged by the petitioner, the court shall issue 30 an order directing the registrar to prepare, register and file the certificate. 31

32 (3) In any proceeding under subdivision (2) of this subsection, the 33 court, on the motion of any party or on the court's own motion, may 34 order genetic testing, as provided in sections 46b-495 to 46b-500, 35 inclusive, to determine parentage. The petitioner shall be responsible for 36 the cost of any such genetic test required by the court, except the 37 department shall pay such cost for any petitioner who is found by the 38 court to be indigent. If the results of such test indicate a ninety-nine per 39 cent or greater probability that a person is the parent of the child for 40 whom a registration of birth is sought, the results shall constitute a 41 rebuttable presumption that the person is, in fact, the parent of the child 42 for whom a registration of birth is sought.

43 Sec. 2. Subsection (h) of section 45a-63 of the general statutes is 44 repealed and the following is substituted in lieu thereof (*Effective from* 45 *passage*):

(h) The council shall, not later than [seven] <u>fifteen</u> business days after
the termination of such investigation, notify the complainant and the

48 respondent that the investigation has been terminated and whether 49 probable cause has been found that misconduct under subsection (a), (b) 50 or (c) of this section has been committed. If the council finds that the 51 respondent has not committed misconduct under subsection (a), (b) or 52 (c) of this section, but the respondent has acted in a manner which gives 53 the appearance of impropriety or constitutes an unfavorable judicial 54 practice, the council may issue a private admonishment to the 55 respondent recommending a change in judicial conduct or practice.

56 Sec. 3. Section 45a-128 of the general statutes is repealed and the 57 following is substituted in lieu thereof (*Effective from passage*):

58 (a) Except as provided in subsection (e) of this section, any order or 59 decree made by a [court of probate] Probate Court ex parte may, in the 60 discretion of the court, be reconsidered and modified or revoked by the 61 court. Reconsideration may be made on the court's own motion or, for 62 cause shown satisfactory to the court, on the written application of any 63 interested person. Such motion or application shall be made or filed 64 before any appeal has been [allowed] <u>filed</u> or after withdrawal of all 65 appeals which have been [allowed] filed. For the purposes of this 66 section, an ex parte order or decree is an order or decree entered in a 67 proceeding of which no notice is required to be given to any party and 68 no notice is given.

69 (b) Except as provided in subsections (a) and (e) of this section, any 70 order or decree other than a decree authorizing the sale of real estate 71 made by a [court of probate] Probate Court may, in the discretion of the 72 court, be reconsidered and modified or revoked by the court, on the 73 court's own motion or on the written application of any interested 74 person. Such application shall be made or filed within one hundred 75 twenty days after the date of such order or decree and before any appeal 76 is [allowed] <u>filed</u> or after withdrawal of all appeals. The court may 77 reconsider and modify or revoke any such order or decree for any of the 78 following reasons: (1) For any reason, if all parties in interest consent to 79 reconsideration, modification or revocation, or (2) for failure to provide 80 legal notice to a party entitled to notice under law, or (3) to correct a scrivener's or clerical error, or (4) upon discovery or identification of
parties in interest unknown to the court at the time of the order or
decree.

(c) Upon any modification or revocation there shall be the same rightof and time for appeal as in the case of any other order or decree.

86 (d) A hearing may be held in the discretion of the court on any motion 87 or application for reconsideration, modification or revocation, and notice of the time and place of such hearing shall be given, in such 88 89 manner as the court shall order, to all persons to whom notice of the 90 order or decree to be reconsidered or notice of the hearings concerning 91 such order or decree, was given, and to all persons by whom any such 92 notice was waived, and to such other persons as the court may 93 determine.

(e) Except as provided in section 45a-295, a decree or order made in
reference to any estate may not be modified or revoked by a [court of
probate] <u>Probate Court</u> as to assets lawfully transferred or distributed
prior to the date of issuance of notice of hearing on a motion or
application for reconsideration of such decree or order, or, if the court
determines not to hold any such hearing, prior to the date of the court's
order of revocation or modification.

Sec. 4. Subsection (a) of section 45a-186a of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective from passage*):

104 (a) In an appeal from an order, denial or decree of a Probate Court 105 made after a hearing that is on the record under section 17a-498, 17a-106 543, 17a-543a, 17a-685 or 19a-131b, sections 45a-644 to 45a-667v, 107 inclusive, or section 51-72 or 51-73, not later than thirty days after 108 [service is made of such appeal under] <u>a copy of the complaint is</u> 109 received by the Probate Court under subsection (f) of section 45a-186, or 110 within such further time as may be allowed by the Superior Court, the 111 Probate Court shall transcribe any portion of the recording of the 112 proceedings that has not been transcribed. The expense for such transcript shall be charged against the person who filed the appeal, except that if the person who filed the appeal is unable to pay such expense and files an affidavit with the court demonstrating the inability to pay, the expense of the transcript shall be paid by the Probate Court Administrator and paid from the Probate Court Administration Fund.

Sec. 5. Subdivision (2) of subsection (a) of section 45a-649 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

121 (2) (A) The court shall direct that personal service of the citation be 122 made, by a state marshal, constable or an indifferent person, upon the 123 respondent and the respondent's spouse, if any, if the spouse is not the 124 applicant. [Notwithstanding the provisions of this subparagraph, in 125 cases where the application is for involuntary representation pursuant 126 to section 17b-456, and there is no spouse or the whereabouts of the 127 spouse is unknown, the court shall order notice by certified mail to the 128 children of the respondent and if none, the parents of the respondent 129 and if none, the brothers and sisters of the respondent or their 130 representatives, and if none, the next of kin of such respondent.] (B) 131 Except for the respondent, if the address of any other person entitled to 132 personal service is unknown, or if personal service or service at the 133 person's usual place of abode cannot be reasonably effected within the 134 state, or if the person is out of the state, the judge or the clerk of the court 135 shall order notice be given by registered or certified mail, return receipt 136 requested, or by publication not less than ten days before the date of the 137 hearing. Any such publication shall be in a newspaper of general 138 circulation in the place of the last known address of the person to be 139 notified, whether within or without this state, or if no such address is 140 known, in the place where the petition has been filed. (C) Notwithstanding the provisions of subparagraph (A) of this 141 142 subdivision, in cases where the application is for involuntary 143 representation pursuant to section 17b-456 or in cases where notice is 144 provided pursuant to subparagraph (B) of this subdivision, the court 145 shall further order notice by certified mail to the children of the 146 respondent and if none, the parents of the respondent and if none the

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brothers and sisters of the respondent or their representatives, and if none, the next of kin of such respondent.		
Sec. 6. Section 45a-727 of the general statutes is repealed and the following is substituted in lieu thereof ( <i>Effective from passage</i> ):		
(a) (1) Except as provided in section 46b-129b, each adoption matter shall be instituted by filing an application in a [Court of Probate] <u>Probate</u>		
<u>Court</u> , together with the written agreement of adoption <u>.</u> [, in duplicate. One of the duplicates shall be sent immediately to the Commissioner of Children and Families.]		
(2) The application shall incorporate a declaration that to the best of the knowledge and belief of the declarant there is no other proceeding		
pending or contemplated in any other court affecting the custody of the child to be adopted, or if there is such a proceeding, a statement in detail of the nature of the proceeding and affirming that the proposed		

adoption would not conflict with or interfere with the other proceeding.
The court shall not proceed on any application which does not contain
such a declaration. For the purposes of this declaration, visitation rights
granted by any court shall not be considered as affecting the custody of
the child.

166 (3) An application for the adoption of a minor child not related to the 167 adoptive parents shall not be accepted by the [Court of Probate] Probate 168 Court unless (A) the child sought to be adopted has been placed for 169 adoption by the Commissioner of Children and Families or a 170 child-placing agency, and the placement for adoption has been 171 approved by the commissioner or a child-placing agency; (B) the 172 placement requirements of this section have been waived by the 173 Adoption Review Board as provided in section 45a-764; (C) the 174 application is for adoption of a minor child by a stepparent as provided 175 in section 45a-733; or (D) the application is for adoption of a child by 176 another person who shares parental responsibility for the child with the 177 parent as provided in subdivision (3) of subsection (a) of section 45a-178 724. The commissioner or a child-placing agency may place a child in 179 adoption who has been identified or located by a prospective parent, SB1501

provided any such placement shall be made in accordance with
regulations promulgated by the commissioner pursuant to section
45a-728. If any such placement is not made in accordance with such
regulations, the adoption application shall not be approved by the
[Court of Probate] <u>Probate Court</u>.

(4) The application and the agreement of adoption shall be filed in the
[Court of Probate] <u>Probate Court</u> for the district where the adoptive
parent resides or in the district where the main office or any local office
of the statutory parent is located.

(5) The provisions of section 17a-152, regarding placement of a child
from another state, and section 17a-175, regarding the interstate
compact on the placement of children, shall apply to adoption
placements.

(b) (1) The [Court of Probate] <u>Probate Court</u> shall request the
commissioner or a child-placing agency to make an investigation and
written report to it [, in duplicate,] within sixty days from the receipt of
such request. [A duplicate of the report shall be sent immediately to the
Commissioner of Children and Families.]

198 (2) The report shall be filed with the [Court of Probate] Probate Court 199 within the sixty-day period. The report shall indicate the physical and 200 mental status of the child and shall also contain such facts as may be 201 relevant to determine whether the proposed adoption will be in the best 202 interests of the child, including the physical, mental, genetic and 203 educational history of the child and the physical, mental, social and 204 financial condition of the parties to the agreement and the biological 205 parents of the child, if known, and whether the best interests of the child 206 would be served in accordance with the criteria set forth in section 45a-207 727a. The report shall include a history of physical, sexual or emotional 208 abuse suffered by the child, if any. The report may set forth conclusions as to whether or not the proposed adoption will be in the best interests 209 210 of the child.

<sup>211 (3)</sup> The physical, mental and genetic history of the child shall include

212 information about: (A) The child's health status at the time of placement; 213 (B) the child's birth, neonatal, and other medical, psychological, 214 psychiatric, and dental history information; (C) a record of immunizations for the child; and (D) the available results of medical, 215 216 psychological, psychiatric and dental examinations of the child. The 217 report shall include information, to the extent known, about past and 218 existing relationships between the child and the child's siblings, 219 biological parents, extended family, and other persons who have had 220 physical possession of or legal access to the child. The educational 221 history of the child shall include, to the extent known, information about 222 the enrollment and performance of the child in educational institutions, 223 results of educational testing and standardized tests for the child, and 224 special educational needs, if any, of the child.

225 (4) The adoptive parents are entitled to receive copies of the records 226 and other information relating to the history of the child maintained by 227 the commissioner or child-placing agency. The adoptive parents are entitled to receive copies of the records, provided if required by law, the 228 229 copies have been edited to protect the identity of the biological parents 230 and any other person whose identity is confidential and other 231 identifying information relating to the history of the child. It is the duty 232 of the person placing the child for adoption to edit, to the extent required 233 by law, the records and information to protect the identity of the 234 biological parents and any other person whose identity is confidential.

235 (5) The report shall be admissible in evidence subject to the right of 236 any interested party to require that the person making it appear as a 237 witness, if available, and such person shall be subject to examination.

238 (6) For any report under this section the [Court of Probate] Probate 239 <u>Court</u> may assess against the adoptive parent or parents a reasonable 240 fee covering the cost and expenses of making the investigation. The fee 241 shall be paid to the state or to the child-placing agency making the 242 investigation and report, provided the report shall be made within the 243 sixty-day period or other time set by the court.

244 (c) (1) Upon the expiration of the sixty-day period or upon the receipt SB1501 / File No. 741 8 of such report, whichever is first, the [Court of Probate] <u>Probate Court</u> shall set a day for a hearing upon the agreement and shall give reasonable notice of the hearing to the parties to the agreement, the child-placing agency if such agency is involved in the adoption, the Commissioner of Children and Families and the child, if over twelve years of age.

251 (2) At the hearing the court may deny the application, enter a final 252 decree approving the adoption if it is satisfied that the adoption is in the 253 best interests of the child or order a further investigation and written 254 report to be filed [, in duplicate,] within whatever period of time it 255 directs. [A duplicate of such report shall be sent to the commissioner.] 256 The court may adjourn the hearing to a day after that fixed for filing the 257 report. If such report has not been filed with the court within the 258 specified time, the court may thereupon deny the application or enter a 259 final decree in the manner provided in this section.

(3) The [Court of Probate] <u>Probate Court</u> shall not disapprove any
adoption under this section solely because of an adoptive parent's
marital status or because of a difference in race, color or religion
between a prospective adoptive parent and the child to be adopted or
because the adoption may be subsidized in accordance with the
provisions of section 17a-117.

(4) The [Court of Probate] <u>Probate Court</u> shall ascertain as far as
possible the date and the place of birth of the child and shall incorporate
such facts in the final decree, a copy of which shall be sent to the
Commissioner of Children and Families.

270 Sec. 7. (Effective July 1, 2025) The Probate Court Administrator shall 271 convene a working group consisting of Probate Court judges, the 272 Commissioner of Social Services, or the commissioner's designee, 273 representatives of nursing homes, as defined in section 19a-563 of the 274 general statutes, and attorneys having expertise serving as conservators, 275 to study and provide recommendations on the issues facing 276 conservators in the Probate Court system, including, but not limited to, 277 delay of payments, fee waiver requirements, compensation levels and 278 the consequences of delays in making determinations on eligibility for 279 Medicaid program benefits. Not later than January 15, 2026, the Probate 280 Court Administrator shall report on the results of the study in 281 accordance with the provisions of section 11-4a of the general statutes 282 to the joint standing committee of the General Assembly having 283 cognizance of matters relating to the judiciary. Such report may include 284 legislative recommendations relating to Probate Court procedures and 285 the topics that were included in the study.

286 Sec. 8. (NEW) (Effective October 1, 2025) Notwithstanding the 287 requirements of chapter 802b of the general statutes, a claim in tort, to 288 the extent that it is within existing insurance coverage for such tort, 289 brought in Superior Court against an estate, shall not be dismissed for 290 lack of subject matter jurisdiction. Nothing in this section shall allow for 291 recovery beyond the insurance limits for such tort against the estate, nor 292 allow for recovery from the fiduciary, the estate of the decedent or any 293 creditor or beneficiary of the estate and recovery shall be limited to the 294 insurance policy in effect at the time of the tort unless the creditor has 295 otherwise complied with making a claim under chapter 802b of the 296 general statutes.

sections:		
Section 1	from passage	7-48(c)
Sec. 2	from passage	45a-63(h)
Sec. 3	from passage	45a-128
Sec. 4	from passage	45a-186a(a)
Sec. 5	from passage	45a-649(a)(2)
Sec. 6	from passage	45a-727
Sec. 7	July 1, 2025	New section
Sec. 8	October 1, 2025	New section

This act shall take effect as follows and shall amend the following

#### JUD Joint Favorable

The following Fiscal Impact Statement and Bill Analysis are prepared for the benefit of the members of the General Assembly, solely for purposes of information, summarization and explanation and do not represent the intent of the General Assembly or either chamber thereof for any purpose. In general, fiscal impacts are based upon a variety of informational sources, including the analyst's professional knowledge. Whenever applicable, agency data is consulted as part of the analysis, however final products do not necessarily reflect an assessment from any specific department.

#### **OFA Fiscal Note**

State Impact: None

Municipal Impact: None

#### Explanation

The bill, which makes various changes to Probate Court operations, is not anticipated to result in a fiscal impact to the state. The Probate Courts and the Probate Court Administration have the capacity and expertise necessary to meet the requirements of the bill.

The Out Years

State Impact: None Municipal Impact: None

#### **OLR Bill Analysis**

SB 1501

#### AN ACT CONCERNING PROBATE COURT OPERATIONS.

#### SUMMARY

This bill makes various changes to probate court-related statutes. It:

- 1. extends to legal guardians an existing process allowing a parent whose child was born outside of a health care institution, but who cannot provide the required information for the birth certificate, to seek a probate court order during the child's first year for the town registrar of vital statistics to prepare the certificate (§ 1);
- 2. extends, from 7 to 15 business days, the time within which the Council on Probate Judicial Conduct must notify the complaining party and respondent (e.g., probate judge) about the completion of its investigation and whether the council found probable cause of misconduct (§ 2);
- 3. specifies that the general 30-day deadline for the probate court to transcribe the recordings for certain appeals to the Superior Court refers to the period after the probate court received a copy of the complaint (§ 4);
- 4. expands the circumstances in which the required notice to certain relatives about an involuntary conservatorship application must be made by certified mail (§ 5);
- 5. eliminates the requirement that adoption applications in probate court, and related investigation reports, be filed in duplicate and that one copy be sent to the Department of Children and Families (DCF) commissioner (in practice, the probate court sends these documents to DCF through the court's eFiling system) (§ 6);
- 6. requires the probate court administrator to convene a working group to study issues facing conservators in the probate court

system (§ 7); and

7. makes minor and technical changes to probate court statutes.

The bill also allows tort claims against a decedent's estate in Superior Court to proceed, to the extent of the existing insurance coverage, despite certain existing requirements on the presentation or rejection of these claims not being met (§ 8).

EFFECTIVE DATE: Upon passage, except the working group provisions are effective July 1, 2025, and the tort claim provisions are effective October 1, 2025.

## § 5 — INVOLUNTARY CONSERVATORSHIP NOTICES

By law, for all involuntary conservatorship cases, in addition to required notice to the respondent and spouse (by personal service, or specified alternate ways if that cannot be done), the court must also order notice, as it directs, to the respondent's other relatives, as follows: the children; if none, the parents; if none, the siblings or their representatives; or if none, the next of kin.

Current law requires this notice to be sent by certified mail if (1) the Department of Social Services (DSS) brought the conservatorship application for an elderly person who is being abused, neglected, exploited, or abandoned and lacks the capacity to consent to protective services and (2) the respondent is unmarried or the spouse cannot be located. The bill instead requires the notice to these relatives to be sent be certified mail if (1) DSS brought the application as described above or (2) the spouse is out of state, his or her address is unknown, or personal service or service at the spouse's usual residence cannot reasonably be done in the state.

# §7 — CONSERVATOR WORKING GROUP

The bill requires the probate court administrator to convene a working group to study and make recommendations on issues facing conservators in the probate court system, including payment delays, fee waiver requirements, compensation levels, and the consequences of delays in Medicaid eligibility determinations. The group must include probate court judges, the DSS commissioner or her designee, nursing home representatives, and attorneys with expertise serving as conservators.

The probate court administrator must report to the Judiciary Committee on the study's results by January 15, 2026. The report may include legislative recommendations on probate court procedures and the topics in the study.

#### § 8 — TORT CLAIMS AGAINST ESTATES IN SUPERIOR COURT

Under existing law, anyone who has brought a claim against a decedent's estate cannot sue on that claim unless the estate's fiduciary (e.g., the administrator) has rejected it. Generally, the person must bring the lawsuit within 120 days after the claim's rejection (CGS § 45a-363).

The bill overrides these provisions (and other laws on decedents' estates in Chapter 802b of the general statutes) and prohibits tort claims against an estate in Superior Court from being dismissed for lack of subject matter jurisdiction, to the extent the claim is within existing insurance coverage. (In other words, it prohibits the case from being dismissed for lack of jurisdiction even if the person has not complied with the above requirement.)

The bill specifies that it does not allow for (1) recovery beyond the tort's insurance limits or (2) recovery from the fiduciary, the decedent's estate, or any estate creditor or beneficiary. (Thus, it appears to allow recovery in these circumstances only from the insurer.) The recovery is limited to the insurance policy in effect when the tort occurred unless the creditor otherwise complied with existing law's requirements.

#### **COMMITTEE ACTION**

Judiciary Committee

Joint Favorable Yea 41 Nay 0 (04/04/2025)