

General Assembly

January Session, 2025

Substitute Bill No. 5422

* H B 0 5 4 2 2 G A E 0 3 2 0 2 5 *

AN ACT REESTABLISHING THE LEGISLATIVE PROGRAM REVIEW AND INVESTIGATIONS COMMITTEE.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

Section 1. (NEW) (*Effective July 1, 2025*) (a) As used in this section and
 sections 2 and 3 of this act:

(1) "Program review" means an examination of programs
administered by state departments and agencies to ascertain whether
such programs are effective, continue to serve their intended purposes,
are conducted in an efficient and effective manner or require
modification or elimination; and

8 (2) "Investigation" means the investigation of any matter which is 9 referred to the Legislative Program Review and Investigations 10 Committee, as provided in section 2 of this act.

11 (b) There is hereby reestablished a Legislative Program Review and 12 Investigations Committee, which shall be a permanent standing 13 committee of the General Assembly, consisting of (1) six members of the 14 Senate, three appointed by the president pro tempore and three 15 appointed by the minority leader of the Senate, and (2) six members of 16 the House of Representatives, three appointed by the speaker of the 17 House of Representatives and three appointed by the minority leader of 18 the House of Representatives. Members shall serve for a term of two

19 years from the date of appointment.

20 (c) The initial appointments of the members shall be made not later 21 than February 4, 2026, and thereafter appointments of the members shall 22 be made at the beginning of each regular session of the General 23 Assembly in the odd-numbered year. The terms of all members 24 appointed to the committee shall end with the termination of each 25 member's term or holding of office, whichever occurs first. Vacancies 26 shall be filled in the same manner as the original appointments. The 27 committee shall select cochairpersons and such other officers as it may 28 deem necessary from among its membership.

(d) A majority of the membership shall constitute a quorum and all
actions of the committee shall require the affirmative vote of a majority
of the full committee membership. The cochairpersons and ranking
minority members of the joint standing committee of the General
Assembly requesting an investigation shall serve as nonvoting, exofficio members of the Legislative Program Review and Investigations
Committee during the course of such investigation.

Sec. 2. (NEW) (*Effective July 1, 2025*) (a) The Legislative Program
Review and Investigations Committee shall:

(1) Direct its staff and other legislative staff available to the committee
to conduct program reviews and investigations to assist the General
Assembly in the proper discharge of its duties;

41 (2) Establish policies and procedures regarding the printing,42 reproduction and distribution of its reports;

(3) Review staff reports submitted to the committee and, when necessary, confer with representatives of the state departments and agencies reviewed in order to obtain full and complete information in regard to programs, other activities and operations of the state, and may request and shall be given access to and copies of, by all public officers, departments, agencies and authorities of the state and its political subdivisions, such public records, data and other information and given 50 such assistance as the committee determines it needs to fulfill its duties;

51 (4) Act on staff reports and recommend in its report, or propose, in 52 the form of a raised bill, such legislation as may be necessary to modify 53 current operations and agency practices;

(5) Consider and act on requests by members of the General
Assembly, legislative committees, elected officials of state government
and state department and agency heads for program reviews. The
request shall be submitted, in writing, to the Program Review and
Investigations Committee and shall state reasons to support the request.
The decision of the committee to grant or deny any such request shall
be final;

61 (6) Conduct investigations requested by joint resolution of the 62 General Assembly, or, when the General Assembly is not in session, (A) 63 requested by a joint standing committee of the General Assembly or 64 initiated by a majority vote of the Program Review and Investigations 65 Committee and approved by the Joint Committee on Legislative 66 Management, or (B) requested by the Joint Committee on Legislative 67 Management. In the event two or more investigations are requested, the 68 order of priority shall be determined by the Legislative Program Review 69 and Investigations Committee;

70 (7) Retain, within available appropriations, the services of 71 consultants, technical assistants, researchers and other personnel 72 necessary to assist in the conduct of program reviews and 73 investigations;

(8) Originate, and report to the General Assembly, any bill it deems
necessary concerning a program, department or other matter under
review or investigation by the committee, in the same manner as is
prescribed by rule for joint standing committees of the General
Assembly;

(9) Review audit reports after issuance by the Auditors of PublicAccounts, evaluate and sponsor new or revised legislation based on

audit findings, provide means to determine compliance with audit
recommendations and receive facts concerning any unauthorized,
illegal, irregular or unsafe handling or expenditures of state funds under
the provisions of section 2-90 of the general statutes, as amended by this
act;

86 (10) Meet as often as may be necessary, during legislative sessions
87 and during the periods between sessions, to perform its duties and
88 functions; and

(11) Report annually to the General Assembly, in accordance with the
provisions of section 11-4a of the general statutes, on or before February
fifteenth.

(b) The committee may, at any time, study any matter within the
scope of a completed or partially completed staff report then being
conducted or may, at its discretion, study and consider any matter
relative to program activities of state departments and agencies.

96 (c) The identity of any public employee providing information to the 97 committee shall not be disclosed. In the course of an investigation, all 98 information, records of interviews, reports, statements, notes, 99 memoranda or other data in the custody of the, or obtained or prepared 100 by, the Legislative Program Review and Investigations Committee or its 101 staff shall not be subject to the provisions of section 1-210 of the general 102 statutes until the investigation is completed. Any statutory 103 requirements of confidentiality regarding any records, data and other 104 information submitted under subdivision (3) of subsection (a) of this 105 section, including penalties for violating such requirements, shall apply 106 to the committee, its staff and its other authorized representatives in the 107 same manner and to the same extent as such requirements and penalties 108 apply to any public officer, department, agency or authority of the state 109 or its political subdivisions.

110 Sec. 3. (NEW) (*Effective July 1, 2025*) (a) In any instance in which a 111 program review cites inadequate operating or administrative system 112 controls or procedures, inaccuracies, waste, extravagance, unauthorized or unintended activities or programs, or other deficiencies, the department head of, or agency head of, or the appropriate program officer or official to which the report pertained, shall take the necessary corrective actions and, when the committee deems the action taken to be not suitable, the committee shall report the matter to the General Assembly together with its recommendations.

(b) The committee shall report the results of each investigation
together with its recommendations for any further action to the General
Assembly electronically, in accordance with the provisions of section 114a of the general statutes.

Sec. 4. Section 1-122 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2025*):

125 (a) In accordance with the provisions of section 2-90, as amended by 126 this act, the Auditors of Public Accounts shall biennially conduct a 127 compliance audit of each quasi-public agency's activities during the 128 agency's two fiscal years preceding each such audit or contract with a 129 person, firm or corporation for any such audit or audits. Each such audit 130 shall determine whether the quasi-public agency has complied with its 131 regulations concerning affirmative action, personnel practices, the 132 purchase of goods and services, the use of surplus funds and the 133 distribution of loans, grants and other financial assistance. Each audit 134 shall include a review of all or a representative sample of the agency's 135 activities in such areas during the relevant fiscal years. Each quasi-136 public agency shall pay the cost of conducting such biennial compliance 137 audit of the agency.

(b) The Auditors of Public Accounts shall submit each audit report to
the Governor [. Each quasi-public agency shall pay the cost of
conducting such biennial compliance audit of the agency] and the
Legislative Program Review and Investigations Committee. Not later
than thirty days after receiving copies of an audit report from the
Auditors of Public Accounts, the Legislative Program Review and
Investigations Committee shall prepare an assessment of whether the

audit report complies with the requirements of this section and shall
submit the assessment and a copy of the audit report to the joint
standing committee of the General Assembly having cognizance of
matters relating to the quasi-public agency.

Sec. 5. Subsection (a) of section 1-123 of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective July 1*,
2025):

152 (a) The board of directors of each quasi-public agency shall annually 153 submit a report to the Governor, [and] the Auditors of Public Accounts 154 and the Legislative Program Review and Investigations Committee. 155 Such report shall include, but need not be limited to, the following: (1) 156 A list of all bond issues for the preceding fiscal year, including, for each 157 such issue, the financial advisor and underwriters, whether the issue 158 was competitive, negotiated or privately placed, and the issue's face 159 value and net proceeds; (2) a list of all projects other than those pertaining to owner-occupied housing or student loans receiving 160 161 financial assistance during the preceding fiscal year, including each 162 project's purpose, location, and the amount of funds provided by the 163 agency; (3) a list of all outside individuals and firms receiving in excess 164 of five thousand dollars in the form of loans, grants or payments for 165 services, except for individuals receiving loans for owner-occupied 166 housing and education; (4) a complete set of financial statements; (5) the 167 cumulative value of all bonds issued, the value of outstanding bonds, 168 and the amount of the state's contingent liability; (6) the affirmative 169 action policy statement, a description of the composition of the agency's 170 work force by race, sex, and occupation and a description of the agency's 171 affirmative action efforts; and (7) a description of planned activities for 172 the current fiscal year. Not later than thirty days after receiving such 173 report from the board of a quasi-public agency, the Legislative Program 174 Review and Investigations Committee shall prepare an assessment of 175 whether the report complies with the requirements of this section and 176 shall submit the assessment and a copy of the report to the joint standing committee of the General Assembly having cognizance of matters 177 178 relating to the quasi-public agency.

179 Sec. 6. Section 2-46 of the general statutes is repealed and the 180 following is substituted in lieu thereof (*Effective July 1, 2025*):

181 (a) The president of the Senate, the speaker of the House of 182 Representatives, or a [chairman] chairperson of the whole, or of any 183 committee of either house, of the General Assembly, or either of the 184 chairpersons of the Legislative Program Review and Investigations 185 Committee, shall have the power to compel the attendance and 186 testimony of witnesses by subpoena and capias issued by any of them, 187 require the production of any necessary books, papers or other 188 documents and administer oaths to witnesses in any case under their 189 examination, including any program review or investigation, as defined in section 1 of this act. Any person, summoned as a witness by the 190 191 authority of either house of the General Assembly or the Legislative 192 Program Review and Investigations Committee to give testimony or to 193 produce books, papers or other documents upon any matter under 194 inquiry before either house, [or] any committee of either house, of the 195 General Assembly, [or] a joint committee of both houses, or by the 196 Legislative Program Review and Investigations Committee, who 197 wilfully makes default or, having appeared, refuses to be sworn or to 198 answer any question pertinent to the question under inquiry, shall be 199 guilty of a class A misdemeanor.

(b) Any individual who is subpoenaed to appear and testify before a
committee of the General Assembly or by the Legislative Program
<u>Review and Investigations Committee</u> shall have the right to review a
copy of the transcript of his or her testimony and a reasonable amount
of time to question its accuracy prior to the public release of such
transcript or its permanent filing.

Sec. 7. Section 2-47 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2025*):

208 No witness shall be privileged to refuse to testify to any fact, or to 209 produce any paper, respecting which [he] <u>such witness</u> is examined by 210 either house of the General Assembly, or by any committee of either house or any joint committee of both houses, or by the Legislative
Program Review and Investigations Committee in any program review
or investigation, as defined in section 1 of this act, upon the ground that
[his] such witness' testimony to such fact or [his] production of such
paper may tend to disgrace [him] such witness or otherwise render
[him] such witness infamous.

Sec. 8. Subsections (c) to (e), inclusive, of section 2-90 of the general
statutes are repealed and the following is substituted in lieu thereof
(*Effective July 1, 2025*):

220 (c) Said auditors shall audit, on a biennial basis if deemed most 221 economical and efficient, or as frequently as they deem necessary, the 222 books and accounts, records of operations and activities, systems and 223 data of each officer, department, commission, board and court of the 224 state government, all institutions supported by the state and all public 225 and quasi-public bodies, politic and corporate, created by public or 226 special act of the General Assembly and not required to be audited or 227 subject to reporting requirements, under the provisions of chapter 111. 228 Each such audit may include an examination of any relevant 229 information concerning the department, commission, board or court of 230 state government being audited that is in the possession or control of a 231 private entity that has a contract with such department, commission, 232 board or court, and such information shall be provided upon demand 233 in a format prescribed by the auditors at no cost to the auditors or the 234 department, commission, board or court. Each such audit may include 235 an examination of performance in order to determine effectiveness in 236 achieving expressed legislative purposes. The auditors shall report their 237 findings and recommendations to the Governor, the State Comptroller, 238 [and] the joint standing committee of the General Assembly having 239 cognizance of matters relating to appropriations and the budgets of state 240 agencies and the Legislative Program Review and Investigations 241 Committee.

(d) The Auditors of Public Accounts may enter into such contractualagreements as may be necessary for the discharge of their duties. Any

audit or report which is prepared by a person, firm or corporation
pursuant to any contract with the Auditors of Public Accounts shall bear
the signature of the person primarily responsible for the preparation of
such audit or report. As used in this subsection, the term "person" means
a natural person.

249 (e) (1) If the Auditors of Public Accounts discover, or if it should come 250 to their knowledge, that any unauthorized, illegal, irregular or unsafe handling or expenditure of state funds or quasi-public agency funds or 251 252 any breakdown in the safekeeping of any resources of the state or a 253 quasi-public agency has occurred or is contemplated, they shall 254 forthwith report the facts to the Governor, the State Comptroller, the 255 clerk of each house of the General Assembly, the Legislative Program 256 <u>Review and Investigations Committee</u> and the Attorney General, except 257 that if a matter reported to the Auditors of Public Accounts pursuant to 258 section 4-33a is still under investigation by a state or quasi-public 259 agency, the Auditors of Public Accounts may give the agency a 260 reasonable amount of time to conduct such investigation prior to the 261 auditors reporting the matter to said officials and committee. (2) If the 262 Auditors of Public Accounts decide to delay reporting such matter in 263 accordance with subdivision (1) of this subsection, the auditors shall 264 immediately notify the Attorney General of such decision. (3) Any 265 Auditor of Public Accounts neglecting to make the report required 266 under subdivision (1) of this subsection, or any agent of the auditors 267 neglecting to report to the Auditors of Public Accounts any such matter 268 discovered by such agent or coming to such agent's knowledge, shall be 269 fined not more than one hundred dollars or imprisoned not more than 270 six months, or both.

271 Sec. 9. Subdivision (11) of subsection (g) of section 17a-28 of the 272 general statutes is repealed and the following is substituted in lieu 273 thereof (*Effective July 1, 2025*):

(11) The Governor, when requested in writing in the course of the
Governor's official functions, <u>the Legislative Program Review and</u>
<u>Investigations Committee</u>, the joint standing committee of the General

277 Assembly having cognizance of matters relating to human services, the 278 joint standing committee of the General Assembly having cognizance of 279 matters relating to the judiciary or the joint standing committee of the 280 General Assembly having cognizance of matters relating to children, 281 when requested in writing by any of such committees in the course of 282 such committee's official functions, and upon a majority vote of such 283 committee, provided no name or other identifying information is 284 disclosed unless such information is essential to the gubernatorial or 285 legislative purpose;

Sec. 10. Section 51-51*l* of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2025*):

288 (a) Except as provided in subsection (d) of this section, the Judicial 289 Review Council shall investigate every written complaint brought 290 before it alleging conduct under section 51-51i, and may initiate an 291 investigation of any judge, administrative law judge or family support 292 magistrate if (1) the council has reason to believe conduct under section 293 51-51i has occurred, or (2) previous complaints indicate a pattern of 294 behavior which would lead to a reasonable belief that conduct under 295 section 51-51i has occurred. The council shall, not later than five days 296 after such initiation of an investigation or receipt of such complaint, 297 notify by registered or certified mail any judge, administrative law 298 judge or family support magistrate under investigation or against 299 whom such complaint is filed. A copy of any such complaint shall 300 accompany such notice. The council shall also notify the complainant of its receipt of such complaint not later than five days thereafter. Any 301 302 investigation to determine whether or not there is probable cause that 303 conduct under section 51-51i has occurred shall be confidential and any 304 individual called by the council for the purpose of providing 305 information shall not disclose his knowledge of such investigation to a 306 third party prior to the decision of the council on whether probable 307 cause exists, unless the respondent requests that such investigation and 308 disclosure be open, provided information known or obtained 309 independently of any such investigation shall not be confidential. The 310 judge, administrative law judge or family support magistrate shall have

311 the right to appear and be heard and to offer any information which may 312 tend to clear him of probable cause to believe he is guilty of conduct 313 under section 51-51i. The judge, administrative law judge or family 314 support magistrate shall also have the right to be represented by legal 315 counsel and examine and cross-examine witnesses. In conducting its 316 investigation under this subsection, the council may request that a court 317 furnish to the council a record or transcript of court proceedings, 318 including records and transcripts of juvenile matters pursuant to section 319 46b-124 and records and transcripts of cases involving youthful 320 offenders pursuant to section 54-76l, made or prepared by a court 321 reporter, assistant court reporter or monitor and the court shall, upon 322 such request, furnish such record or transcript.

323 (b) The Judicial Review Council shall, not later than three business 324 days after the termination of such investigation, notify the complainant, 325 if any, and the judge, administrative law judge or family support 326 magistrate that the investigation has been terminated and the results 327 thereof. If the council finds that conduct under section 51-51i has not occurred, but the judge, administrative law judge or family support 328 329 magistrate has acted in a manner which gives the appearance of 330 impropriety or constitutes an unfavorable judicial or magisterial 331 practice, the council may issue an admonishment to the judge, 332 administrative law judge or family support magistrate recommending 333 a change in judicial or magisterial conduct or practice. If an 334 admonishment is issued, the council shall (1) notify the joint standing 335 committee of the General Assembly having cognizance of matters 336 relating to the judiciary that an admonishment was issued and provide 337 said committee with the substance of the admonishment, including 338 copies of the complaint file, (2) notify the Chief Court Administrator that 339 an admonishment was issued and provide the Chief Court 340 Administrator with the substance of the admonishment, including 341 copies of the complaint file, and (3) inform the complainant, if any, that 342 an admonishment was issued if the admonishment is the result of 343 misconduct alleged in the complaint. Except as provided in this 344 subsection, the substance of the admonishment shall not be disclosed to

345 any person or organization.

346 (c) If a preliminary investigation indicates that probable cause exists 347 that the judge, administrative law judge or family support magistrate is 348 guilty of conduct under section 51-51i, the council shall hold a hearing 349 concerning the conduct or complaint. All hearings held pursuant to this 350 subsection shall be open. A judge, an administrative law judge or a 351 family support magistrate appearing before such a hearing shall be 352 entitled to counsel, to present evidence and to cross-examine witnesses. 353 The council shall make a record of all proceedings pursuant to this 354 subsection. The council shall not later than thirty days after the close of 355 such hearing publish its findings together with a memorandum of its 356 reasons therefor.

(d) No complaint against a judge, an administrative law judge or a
family support magistrate alleging conduct under section 51-51i shall be
brought under this section but within one year from the date the alleged
conduct occurred or was discovered or in the exercise of reasonable care
should have been discovered, except that no such complaint may be
brought more than three years from the date the alleged conduct
occurred.

364 (e) Notwithstanding the provisions of subsections (a) and (b) of this 365 section, the council shall disclose any information concerning 366 complaints received by the council on and after January 1, 1978, and 367 investigations and disposition of such complaints to the Legislative 368 Program Review and Investigations Committee when requested by the 369 committee in the course of its functions, in writing, and upon a majority 370 vote of the committee, provided no names or other identifying 371 information shall be disclosed.

[(e)] (f) On and after December 19, 1991, any judge, administrative law judge or family support magistrate who has been the subject of an investigation by the Judicial Review Council as a result of a complaint brought before such council may request that such complaint, investigation and the disposition of such complaint be open to public 377 inspection.

378 [(f)] (g) Whenever a complaint against a judge, an administrative law 379 judge or a family support magistrate is pending before the Judicial 380 Review Council within the final year of the term of office of such judge, 381 administrative law judge or family support magistrate, the Judicial 382 Review Council shall designate such complaint as privileged and shall 383 conduct an expedited investigation and hearing so that its duties with 384 respect to such complaint are completed in sufficient time to enable the 385 Judicial Review Council to make its recommendation concerning any 386 such judge to the Judicial Selection Commission and the Governor 387 under section 51-51q in a timely manner.

Sec. 11. Subsection (a) of section 2-53m of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective July 1*,
2025):

391 (a) The joint standing committee of the General Assembly having 392 cognizance of matters relating to children, in consultation with the 393 Office of Fiscal Analysis, the Office of Legislative Research and the 394 Commission on Women, Children and Seniors, shall maintain an annual 395 report card that evaluates the progress of state policies and programs in 396 promoting the result that all Connecticut children grow up in a stable 397 living environment, safe, healthy and ready to lead successful lives. 398 Progress shall be measured by primary indicators of progress, 399 including, but not limited to, indicators established in the [final] report 400 of the [former] Legislative Program Review and Investigations 401 Committee prepared pursuant to the provisions of section 1 of public 402 act 09-166, of state-wide rates of child abuse, child poverty, low birth 403 weight, third grade reading proficiency, and the annual social health 404 index developed pursuant to section 46a-131a. For each indicator, the 405 data shall also be presented according to ethnicity or race, gender, 406 geography, disability and, where appropriate, age and other relevant 407 characteristics. The joint standing committee of the General Assembly 408 having cognizance of matters relating to children shall prepare the 409 report card on or before January 15, 2018, and annually thereafter. On 410 or before January 15, 2018, and annually thereafter, said committee shall 411 make the report card available to the public on the Internet and on the 412 web site of the General Assembly and shall transmit the report card electronically to (1) members of the joint standing committees of the 413 414 General Assembly having cognizance of matters relating to 415 appropriations and the budgets of state agencies and human services, 416 (2) the Commissioners of Children and Families, Education and Public 417 Health, (3) the Child Advocate, (4) the Secretary of the Office of Policy and Management, and (5) the Chief Court Administrator. 418

This act shall take effect as follows and shall amend the following sections:		
Section 1	July 1, 2025	New section
Sec. 2	July 1, 2025	New section
Sec. 3	July 1, 2025	New section
Sec. 4	July 1, 2025	1-122
Sec. 5	July 1, 2025	1-123(a)
Sec. 6	July 1, 2025	2-46
Sec. 7	July 1, 2025	2-47
Sec. 8	July 1, 2025	2-90(c) to (e)
Sec. 9	July 1, 2025	17a-28(g)(11)
Sec. 10	July 1, 2025	51-51 <i>l</i>
Sec. 11	July 1, 2025	2-53m(a)

Statement of Legislative Commissioners:

In Section 1(d), "of the General Assembly" was added after "committee" for accuracy.

GAE Joint Favorable Subst. -LCO