

General Assembly

January Session, 2025

# Substitute Bill No. 6835



# AN ACT ADOPTING THE PHYSICIAN ASSISTANT LICENSURE COMPACT.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. (NEW) (Effective July 1, 2025) The Physician Assistant
- 2 Licensure Compact, hereinafter referred to as the "PA Licensure
- 3 Compact", is hereby enacted into law and entered into by the state of
- 4 Connecticut with any and all states legally joining therein in accordance
- 5 with its terms. The compact is substantially as follows:

#### PA LICENSURE COMPACT

# 7 Section 1. Purpose

6

8

9

10

11

12

13

14

15

16

17

18

In order to strengthen access to medical services and in recognition of the advances in the delivery of medical services, the participating states of the PA Licensure Compact have allied in common purpose to develop a comprehensive process that complements the existing authority of state licensing boards to license and discipline PAs and seeks to enhance the portability of a license to practice as a PA while safeguarding the safety of patients. The compact allows medical services to be provided by PAs, via the mutual recognition of the licensee's qualifying license by other compact participating states. The compact adopts the prevailing standard for PA licensure and affirms that the

LCO 1 of 32

practice and delivery of medical services by the PA occurs where the

- 19 patient is located at the time of the patient encounter and requires the
- 20 PA to be under the jurisdiction of the state licensing board where the
- 21 patient is located. Each state licensing board that participates in the
- 22 compact shall retain the jurisdiction to impose adverse action against a
- compact privilege in such board's state that was issued to a PA through
- 24 the procedures of the compact. The PA Licensure Compact will alleviate
- 25 burdens for military families by allowing active duty military personnel
- 26 and their spouses to obtain a compact privilege based on having an
- 27 unrestricted license in good standing from a participating state.
- 28 Section 2. Definitions
- 29 As used in the compact:
- 30 (1) "Adverse action" means any administrative, civil, equitable or
- 31 criminal action permitted by a state's laws that is imposed by a licensing
- 32 board or other authority against a PA license, PA license application or
- 33 compact privilege, including, but not limited to, license denial, censure,
- 34 revocation, suspension, probation, monitoring of the licensee or
- 35 restriction on the licensee's practice.
- 36 (2) "Compact privilege" means the authorization granted by a remote
- 37 state to allow a licensee from another participating state to practice as a
- 38 PA to provide medical services and other licensed activity to a patient
- 39 located in the remote state under the remote state's laws and
- 40 regulations.
- 41 (3) "Conviction" means a finding by a court that an individual is
- 42 guilty of a felony or misdemeanor offense through adjudication or entry
- of a plea of guilt or no contest to the charge by the offender.
- 44 (4) "Criminal background check" means the submission of
- 45 fingerprints or other biometric-based information for a license applicant
- 46 for the purpose of obtaining such applicant's criminal history record
- information, as defined in 28 CFR 20.3(d), as amended from time to time,
- 48 from the state's criminal history record repository, as defined in 28 CFR
- 49 20.3(f), as amended from time to time.

LCO 2 of 32

- 50 (5) "Data system" means the repository of information about 51 licensees, including, but not limited to, license status and adverse 52 actions, that is created and administered under the terms of the compact.
  - (6) "Executive committee" means a group of directors and ex-officio individuals elected or appointed pursuant to subdivision (2) of subsection (f) of section 7 of the compact.

54

55

- 56 (7) "Impaired practitioner" means a PA whose practice is adversely 57 affected by a health-related condition that impacts the PA's ability to 58 practice.
- 59 (8) "Investigative information" means information, records or 60 documents received or generated by a licensing board pursuant to an 61 investigation.
- 62 (9) "Jurisprudence requirement" means the assessment of an 63 individual's knowledge of the laws and rules governing the practice of 64 a PA in a state.
- (10) "License" means current authorization by a state, other than authorization pursuant to a compact privilege, for a PA to provide medical services that would be unlawful without such current authorization.
- 69 (11) "Licensee" means an individual who holds a license from a state 70 to provide medical services as a PA.
- 71 (12) "Licensing board" means any state entity authorized to license 72 and otherwise regulate PAs.
- 73 (13) "Medical services" means health care services provided for the 74 diagnosis, prevention, treatment, cure or relief of a health condition, 75 injury or disease, as defined by a state's laws and regulations.
- 76 (14) "Model compact" means the model for the PA Licensure 77 Compact on file with the Council of State Governments, or other entity 78 as designated by the commission.

LCO 3 of 32

- 79 (15) "Participating state" means a state that has enacted the compact.
- 80 (16) "PA" means an individual who is licensed as a physician assistant
- 81 in a state. For purposes of the compact, any other title or status adopted
- 82 by a state to replace the term "physician assistant" shall be deemed
- 83 synonymous with "physician assistant" and "PA" and shall confer the
- 84 same rights and responsibilities to the licensee under the provisions of
- 85 the compact at the time of the compact's enactment.
- 86 (17) "PA Licensure Compact Commission", "compact commission" or
- 87 "commission" means the national administrative body created pursuant
- 88 to subsection (a) of section 7 of the compact.
- 89 (18) "Qualifying license" means an unrestricted license issued by a
- 90 participating state to provide medical services as a PA.
- 91 (19) "Remote state" means a participating state where a licensee who
- 92 is not licensed as a PA is exercising or seeking to exercise the compact
- 93 privilege.
- 94 (20) "Rule" means a regulation promulgated by an entity that has the
- 95 force and effect of law.
- 96 (21) "Significant investigative information" means investigative
- 97 information that a licensing board, after an inquiry or investigation that
- 98 includes notification and an opportunity for the PA to respond if
- 99 required by state law, has reason to believe is not groundless and, if
- proven true, would indicate more than a minor infraction.
- 101 (22) "State" means any state, commonwealth, district or territory of
- the United States.
- Section 3. State Participation in the Compact
- 104 (a) To participate in the compact, a participating state shall:
- 105 (1) License PAs.
- 106 (2) Participate in the compact commission's data system.

LCO 4 of 32

- 107 (3) Have a mechanism in place for receiving and investigating 108 complaints against licensees and license applicants.
- (4) Notify the commission, in compliance with the terms of the compact and commission rules, of any adverse action against a licensee or license applicant and the existence of significant investigative information regarding a licensee or license applicant.
- 113 (5) Fully implement a criminal background check requirement, 114 within a time frame established by commission rule, by the participating 115 state's licensing board receiving the results of a criminal background 116 check and reporting to the commission whether the license applicant 117 has been granted a license.
- 118 (6) Comply with the rules of the compact commission.
- 17) Utilize passage of a recognized national licensure examination, 120 including, but not limited to, the Physician Assistant National 121 Certifying Examination administered by the National Commission on 122 Certification of Physician Assistants, as a requirement for PA licensure.
- 123 (8) Grant the compact privilege to a holder of a qualifying license in 124 a participating state.
- 125 (b) Nothing in the compact shall be construed to prohibit a 126 participating state from charging a fee for granting the compact 127 privilege.
- 128 Section 4. Compact Privilege
- (a) To exercise the compact privilege, a licensee shall:
- 130 (1) Have graduated from a PA program accredited by the
- 131 Accreditation Review Commission on Education for the Physician
- 132 Assistant, Inc., or any other PA program authorized by commission
- 133 rule.
- 134 (2) Hold current certification from the National Commission on

LCO 5 of 32

- 135 Certification of Physician Assistants.
- 136 (3) Have no felony or misdemeanor convictions.
- 137 (4) Have never had a controlled substance license, permit or 138 registration suspended or revoked by a state or by the United States
- 139 Drug Enforcement Administration.
- 140 (5) Have a unique identifier as determined by commission rule.
- 141 (6) Hold a qualifying license.

157

158

163

- 142 (7) Have had no revocation of a license or limitation or restriction on 143 any license currently held or compact privilege due to an adverse action, 144 provided (A) if a licensee had a limitation or restriction on a license or 145 compact privilege due to an adverse action, two years shall have elapsed 146 from the date on which the license or compact privilege is no longer 147 limited or restricted due to the adverse action, and (B) if a compact 148 privilege has been revoked or is limited or restricted in a participating 149 state for conduct that would not be a basis for disciplinary action in a 150 participating state in which the licensee is practicing or applying to practice under a compact privilege, such participating state shall have 151 152 the discretion not to consider such action as an adverse action requiring 153 the denial or removal of a compact privilege in such state.
- 154 (8) Notify the compact commission that the licensee is seeking the 155 compact privilege in a remote state.
  - (9) Meet any jurisprudence requirement of a remote state in which the licensee is seeking to practice under the compact privilege and pay any fees applicable to satisfying the jurisprudence requirement.
- 159 (10) Report to the commission any adverse action taken by a 160 nonparticipating state not later than thirty days after the adverse action 161 was taken.
- 162 (b) The compact privilege shall be valid until the expiration or revocation of the qualifying license unless terminated pursuant to an

**6** of 32 LCO

- adverse action. The licensee shall comply with all of the requirements of subsection (a) of this section of the compact to maintain the compact privilege in a remote state. If the participating state takes adverse action against a qualifying license, the licensee shall lose the compact privilege in any remote state in which the licensee has a compact privilege until both of the following occur:
- (1) The license is no longer limited or restricted; and

166

167

168

169

170

173

174

175

176

182

183

184

185

186

187

188

189

190

191

192193

- 171 (2) Two years have elapsed from the date on which the license is no 172 longer limited or restricted due to the adverse action.
  - (c) Once a restricted or limited license satisfies the requirements of subdivisions (1) and (2) of subsection (b) of this section of the compact, the licensee shall meet the requirements of subsection (a) of this section of the compact to obtain a compact privilege in any remote state.
- (d) For each remote state in which a PA seeks authority to prescribe
  controlled substances, the PA shall satisfy all requirements imposed by
  such state in granting or renewing such authority.
- Section 5. Designation of the State from Which Licensee is Applying for a Compact Privilege
  - Upon a licensee's application for a compact privilege, the licensee shall identify to the commission the participating state from which the licensee is applying, in accordance with applicable rules adopted by the commission, and subject to the following requirements:
  - (1) When applying for a compact privilege, the licensee shall (A) provide the commission with the address of the licensee's primary residence, and (B) report to the commission any change in the address of the licensee's primary residence immediately following such change.
  - (2) When applying for a compact privilege, the licensee shall be required to consent to accept service of process by mail at the licensee's primary residence on file with the commission with respect to any action brought against the licensee by the commission or a participating

LCO **7** of 32

194 state, including, but not limited to, a subpoena.

#### 195 Section 6. Adverse Actions

196

197

198

201

202

203

204

205

206

207

208

209

210

211

212

213

214

215

216

217

218

219

220

221

222

223

224

- (a) A participating state in which a licensee is licensed shall have exclusive power to impose adverse action against the qualifying license issued by such participating state.
- 199 (b) In addition to the other powers conferred by state law, a remote 200 state shall have the authority, in accordance with existing state due process requirements, to do all of the following:
  - (1) Take adverse action against a PA's compact privilege in such remote state to remove a licensee's compact privilege or take other action necessary under applicable law to protect the health and safety of its citizens.
  - (2) Issue subpoenas for hearings or investigations that require the attendance and testimony of witnesses and for the production of evidence. Any subpoena issued by a licensing board in a participating state for the attendance and testimony of witnesses or the production of evidence from another participating state shall be enforced in such other participating state by any court of competent jurisdiction according to the practice and procedure of such court applicable to subpoenas issued in proceedings pending before such court. The issuing authority shall pay any witness fees, travel expenses, mileage and other fees required by the service statutes of the state in which the witnesses or evidence is located. Notwithstanding the provisions of this subdivision, a participating state shall not issue a subpoena to gather evidence of conduct in another state that is lawful in such other state for the purpose of taking adverse action against a licensee's compact privilege or application for a compact privilege in such participating state.
  - (c) Nothing in the compact shall be construed to authorize a participating state to impose discipline against a PA's compact privilege or deny an application for a compact privilege in such participating state for the PA's otherwise lawful practice in another state.

LCO **8** of 32

- (d) For purposes of taking adverse action, the participating state that issued the qualifying license shall give the same priority and effect to reported conduct received from any other participating state as it would if the conduct had occurred within the participating state that issued the qualifying license and shall apply its own state laws to determine appropriate action.
- 231 (e) A participating state, if otherwise permitted by state law, may 232 recover from the affected PA the costs of any investigation or disposition 233 of a case resulting from any adverse action taken against such PA.
  - (f) A participating state may take adverse action based on the factual findings of a remote state, provided the participating state follows its own procedures for taking the adverse action.
- 237 (g) Joint Investigations

227

228

229

230

234

235

236

241

242

243

244

253

254

- 238 (1) In addition to the authority granted to a participating state by its 239 respective state statutes and regulations concerning PAs, or other 240 applicable state law, any participating state may participate with any other participating state in a joint investigation of a licensee.
  - (2) A participating state shall share any investigative, litigation or compliance materials in furtherance of any joint or individual investigation initiated under the compact.
- 245 (h) If an adverse action is taken against a PA's qualifying license, the 246 PA's compact privilege in all remote states shall be deactivated until two 247 years have elapsed from the date on which all restrictions were removed 248 from the state license. All disciplinary orders by the participating state 249 that issued the qualifying license that impose one or more adverse 250 actions against a PA's license shall include a statement that the PA's 251 compact privilege is deactivated in all participating states during the 252 pendency of the order.
  - (i) If any participating state takes adverse action, it shall promptly notify the administrator of the data system.

LCO **9** of 32

- Section 7. Establishment of the PA Licensure Compact Commission
- 256 (a) The participating states hereby create and establish a joint 257 government agency and national administrative body known as the PA
- 258 Licensure Compact Commission. The commission shall be an
- 259 instrumentality of the compact states acting jointly and not an
- 260 instrumentality of any one state. The commission shall come into
- 261 existence on or after the effective date of the compact as set forth in
- subsection (a) of section 11 of the compact.
- 263 (b) Membership, Voting and Meetings
- 264 (1) Each participating state shall have and be limited to one delegate
- selected by such participating state's licensing board or, if the state has
- 266 more than one licensing board, selected collectively by the participating
- state's licensing boards.
- 268 (2) The delegate shall be either:
- 269 (A) A current PA, physician or public member of a licensing board or
- a PA council or committee; or
- 271 (B) An administrator of a licensing board.
- 272 (3) Any delegate may be removed or suspended from office as
- 273 provided by the laws of the state from which the delegate is appointed.
- 274 (4) The participating state licensing board shall fill any vacancy
- occurring in the commission not later than sixty days after the date on
- which the vacancy occurred.
- 277 (5) Each delegate shall be entitled to one vote on all matters voted on
- 278 by the commission and shall otherwise have an opportunity to
- 279 participate in the business and affairs of the commission. A delegate
- shall vote in person or by such other means as provided in the bylaws.
- 281 The bylaws may provide for delegates' participation in meetings by
- 282 telecommunications, video conference or other means of
- 283 communication.

LCO 10 of 32

284 (6) The commission shall meet at least once during each calendar 285 year. Additional meetings shall be held as set forth in the compact and 286 the bylaws. 287 (7) The commission shall establish by rule a term of office for 288 delegates. 289 (c) The commission shall have the following powers and duties: 290 (1) Establish a code of ethics for the commission; 291 (2) Establish the fiscal year of the commission; 292 (3) Establish fees; 293 (4) Establish bylaws; 294 (5) Maintain its financial records in accordance with the bylaws; 295 (6) Meet and take such actions as are consistent with the provisions 296 of the compact and the bylaws; 297 (7) Promulgate rules to facilitate and coordinate implementation and 298 administration of the compact. The rules shall have the force and effect 299 of law and shall be binding in all participating states; 300 (8) Bring and prosecute legal proceedings or actions in the name of 301 the commission, provided the standing of any state licensing board to 302 sue or be sued under applicable law shall not be affected; 303 (9) Purchase and maintain insurance and bonds; 304 (10) Borrow, accept or contract for services of personnel, including, 305 but not limited to, employees of a participating state; 306 (11) Hire employees and engage contractors, elect or appoint officers, 307 fix compensation, define duties, grant such individuals appropriate

LCO 11 of 32

authority to carry out the purposes of the compact and establish the

commission's personnel policies and programs relating to conflicts of

308

309

310 interest, qualifications of personnel and other related personnel matters; 311 (12) Accept any and all appropriate donations and grants of money, 312 equipment, supplies, materials and services, and receive, utilize and 313 dispose of such money, equipment, supplies material and services, 314 provided the commission shall avoid any appearance of impropriety or 315 conflict of interest at all times; 316 (13) Lease, purchase, accept appropriate gifts or donations of, or 317 otherwise own, hold, improve or use, any property, real, personal or 318 mixed, provided the commission shall avoid any appearance of 319 impropriety at all times; 320 (14) Sell, convey, mortgage, pledge, lease, exchange, abandon or 321 otherwise dispose of any property real, personal or mixed; 322 (15) Establish a budget and make expenditures; 323 (16) Borrow money; 324 (17) Appoint committees, including standing committees composed 325 of members, state regulators, state legislators or their representatives 326 and consumer representatives, and such other interested persons as 327 may be designated in the compact and the bylaws; 328 (18) Provide and receive information from, and cooperate with, law 329 enforcement agencies; 330 (19) Elect a chair, vice chair, secretary and treasurer and such other 331 officers of the commission as provided in the commission's bylaws; 332 (20) Reserve for itself, in addition to those reserved exclusively to the 333 commission under the compact, powers that the executive committee 334 may not exercise; 335 (21) Approve or disapprove a state's participation in the compact

LCO 12 of 32

based upon its determination as to whether the state's compact

legislation departs in a material manner from the model compact

336

337

Substitute Bill No. 6835
language;
(22) Prepare and provide to the participating states an annual report; and
(23) Perform such other functions as may be necessary or appropriate to achieve the purposes of the compact consistent with the state regulation of PA licensure and practice.
(d) Meetings of the Commission
(1) All meetings of the commission that are not closed pursuant to subdivision (3) of this subsection shall be open to the public. Notice of public meetings shall be posted on the commission's Internet web site not later than thirty days prior to the public meeting.
(2) Notwithstanding the provisions of subdivision (1) of this subsection, the commission may convene a public meeting by providing notice of the meeting at least twenty-four hours prior to the meeting on the commission's Internet web site, and any other means as provided in the commission's rules, for any of the reasons it may dispense with notice of proposed rulemaking under subsection (1) of section 9 of the compact.
(3) The commission may convene in a closed, nonpublic meeting or nonpublic part of a public meeting to receive legal advice or to discuss:
(A) Noncompliance of a participating state with its obligations under the compact;
(B) The employment, compensation, discipline or other matters, practices or procedures related to specific employees or other matters related to the commission's internal personnel practices and procedures;

\_\_\_\_\_

(D) Negotiation of contracts for the purchase, lease or sale of goods,

**13** of 32

(C) Current, threatened or reasonably anticipated litigation;

363

364365

LCO

services or real estate;

366 (E) Accusing any person of a crime or formally censuring any person; 367 (F) Disclosure of trade secrets or commercial or financial information 368 that is privileged or confidential; 369 (G) Disclosure of information of a personal nature where disclosure 370 would constitute a clearly unwarranted invasion of personal privacy; 371 (H) Disclosure of investigative records compiled for law enforcement 372 purposes; 373 (I) Disclosure of information related to any investigative reports 374 prepared by or on behalf of or for use of the commission or other 375 committee charged with responsibility of investigation or determination 376 of compliance issues pursuant to the compact; 377 (J) Legal advice; or 378 (K) Matters specifically exempted from disclosure by federal or 379 participating states' statutes. 380 (4) If a meeting, or portion of a meeting, is closed pursuant to 381 subdivision (3) of this subsection, the chair of the meeting, or the chair's 382 designee, shall certify that the meeting or portion of the meeting may be 383 closed and shall reference each relevant exempting provision. 384 (5) The commission shall keep minutes that fully and clearly describe 385 all matters discussed in a meeting and shall provide a full and accurate 386 summary of actions taken, including, but not limited to, a description of 387 the views expressed at the meeting. All documents considered in 388 connection with an action shall be identified in such minutes. All 389 minutes and documents of a closed meeting shall remain under seal, 390 subject to release by a majority vote of the commission or order of a 391 court of competent jurisdiction. 392 (e) Financing of the Commission

LCO 14 of 32

(1) The commission shall pay, or provide for the payment of, the

393

reasonable expenses of its establishment, organization and ongoing activities.

- (2) The commission may accept any and all appropriate revenue sources, donations and grants of money, equipment, supplies, materials and services.
- (3) The commission may levy on and collect an annual assessment from each participating state and may impose compact privilege fees on licensees of participating states to whom a compact privilege is granted to cover the cost of the operations and activities of the commission and its staff. Such fees shall be in a total amount that is sufficient to cover its annual budget as approved by the commission each year for which revenue is not provided by other sources. The aggregate annual assessment amount levied on participating states shall be allocated based upon a formula to be determined by commission rule.
  - (A) A compact privilege expires when the licensee's qualifying license in the participating state from which the licensee applied for the compact privilege expires.
  - (B) If the licensee terminates the qualifying license through which the licensee applied for the compact privilege before its scheduled expiration and the licensee has a qualifying license in another participating state, the licensee shall inform the commission that it is changing to such participating state the participating state through which it applies for a compact privilege and pay to the commission any compact privilege fee required by commission rule.
  - (4) The commission shall not (A) incur an obligation of any kind prior to securing the funds adequate to meet the same, or (B) pledge the credit of any of the participating states, except by and with the authority of the participating state.
- (5) The commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the commission shall be subject to the financial review and accounting procedures established

LCO 15 of 32

Substitute Bill No. 6835 425 under its bylaws. All receipts and disbursements of funds handled by 426 the commission shall be subject to an annual financial review by a 427 certified or licensed public accountant, and the report of the financial 428 review shall be included in and become part of the annual report of the 429 commission. 430 (f) The Executive Committee 431 (1) The executive committee shall have the power to act on behalf of 432 the commission according to the terms of the compact and commission 433 rules. 434 (2) The executive committee shall be composed of the following nine 435 members: 436 (A) Seven voting members who shall be elected by the commission 437 from the current membership of the commission; 438 (B) One ex-officio, nonvoting member from a recognized national PA 439 professional association; and 440 (C) One ex-officio, nonvoting member from a recognized national PA 441 certification organization. 442 (3) The ex-officio members shall be selected by their respective 443 organizations. 444 (4) The commission may remove any member of the executive 445 committee as provided in its bylaws. 446 (5) The executive committee shall meet at least annually.

(A) Recommend to the commission changes to the commission's rules or bylaws, changes to the compact legislation, fees to be paid by compact participating states, including, but not limited to, annual dues, and any commission compact fee charged to licensees for the compact privilege;

(6) The executive committee shall have the following duties and

447

448

449

450

451

452

responsibilities:

LCO 16 of 32

- 453 (B) Ensure compact administration services are appropriately 454 provided, contractual or otherwise;
- 455 (C) Prepare and recommend the budget;

468

469

470

471

472

473

474

475

476

477

478

- (D) Maintain financial records on behalf of the commission;
- 457 (E) Monitor compact compliance of participating states and provide 458 compliance reports to the commission;
- 459 (F) Establish additional committees as necessary;
- (G) Exercise the powers and duties of the commission during the interim between commission meetings, except the issuance of proposed rulemaking, the adoption of commission rules or bylaws or the exercise of any other powers and duties exclusively reserved to the commission by the commission's rules; and
- (H) Perform other duties as provided in the commission's rules or bylaws.
  - (7) All meetings of the executive committee at which it votes or plans to vote on matters in exercising the powers and duties of the commission shall be open to the public and public notice of such meetings shall be given as public meetings of the commission are given.
  - (8) The executive committee may convene in a closed, nonpublic meeting for the same reasons that the commission may convene in a nonpublic meeting as set forth in subdivision (3) of subsection (d) of this section of the compact and shall announce the closed meeting as the commission is required to under subdivision (4) of subsection (d) of this section of the compact and keep minutes of the closed meeting as the commission is required to under subdivision (5) of subsection (d) of this section of the compact.
- 479 (g) Qualified Immunity, Defense and Indemnification
- 480 (1) The members, officers, executive director, employees and

LCO 17 of 32

representatives of the commission shall be immune from suit and liability, both personally and in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred, within the scope of commission employment, duties or responsibilities, provided nothing in this subdivision shall be construed to protect any such person from suit or liability for any damage, loss, injury or liability caused by the intentional or wilful or wanton misconduct of that person. The procurement of insurance of any type by the commission shall not in any way compromise or limit the immunity granted under this subdivision.

- (2) The commission shall defend any member, officer, executive director, employee and representative of the commission in any civil action seeking to impose liability arising out of any actual or alleged act, error or omission that occurred within the scope of commission employment, duties or responsibilities, or as determined by the commission that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties or responsibilities, provided (A) nothing in this subdivision shall be construed to prohibit such person from retaining such person's own counsel at such person's own expense, and (B) the actual or alleged act, error or omission did not result from such person's intentional or wilful or wanton misconduct.
- (3) The commission shall indemnify and hold harmless any member, officer, executive director, employee or representative of the commission for the amount of any settlement or judgment obtained against such person arising out of any actual or alleged act, error or omission that occurred within the scope of commission employment, duties or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of commission employment, duties or responsibilities, provided the actual or alleged act, error or omission did not result from the intentional or wilful or wanton misconduct of such person.

LCO 18 of 32

- (4) Venue shall be proper and judicial proceedings by or against the commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the commission is located. The commission may waive venue and jurisdictional defenses in any proceedings as authorized by commission rules.
- (5) Nothing in the compact shall be construed as a limitation on the liability of any licensee for professional malpractice or misconduct, which shall be governed solely by any other applicable state laws.
- (6) Nothing in the compact shall be construed to designate the venue or jurisdiction to bring actions for alleged acts of malpractice, professional misconduct, negligence or other such civil action pertaining to the practice of a PA. All such matters shall be determined exclusively by state law other than the compact.
- (7) Nothing in the compact shall be construed to waive or otherwise abrogate a participating state's state action immunity or state action affirmative defense with respect to antitrust claims under the Sherman Act, 15 USC 1 et seq., as amended from time to time, Clayton Antitrust Act, 15 USC 12-27, as amended from time to time, or any other state or federal antitrust or anticompetitive law or regulation.
  - (8) Nothing in the compact shall be construed to be a waiver of sovereign immunity by the participating states or by the commission.

#### Section 8. Data System

- (a) The commission shall provide for the development, maintenance, operation and utilization of a coordinated data and reporting system containing licensure, adverse action and the reporting of the existence of significant investigative information on all licensed PAs and applicants denied a license in participating states.
- (b) Notwithstanding any other state law, each participating state shall submit a uniform data set to the data system, utilizing a unique identifier for such state, on all PAs to whom the compact is applicable

LCO 19 of 32

545 as required by the rules of the commission, including the following: 546 (1) Identifying information; 547 (2) Licensure data; 548 (3) Adverse actions against a license or compact privilege; 549 (4) Any denial of application for licensure, except any criminal 550 history record information where the reporting of such information is 551 prohibited by law, and the reason or reasons for such denial; 552 (5) The existence of significant investigative information; and 553 (6) Any other information that may facilitate the administration of the 554 compact, as determined by the rules of the commission. 555 (c) Significant investigative information pertaining to a licensee in 556 any participating state shall only be available to other participating 557 states. 558 (d) The commission shall promptly notify all participating states of 559 any adverse action taken against a licensee or an individual applying for 560 a license that has been reported to the commission. Such adverse action 561 information shall be available to any other participating state. 562 (e) Each participating state contributing information to the data 563 system may, in accordance with state or federal law, designate 564 information that may not be shared with the public without the express 565 permission of the contributing state. Notwithstanding any such 566 designation, such information shall be reported to the commission 567 through the data system. 568 (f) Any information submitted to the data system that is subsequently 569 expunged pursuant to federal law or the laws of the participating state

LCO **20** of 32

contributing the information shall be removed from the data system

upon the reporting of such expungement by the participating state to

570

571

572

the commission.

(g) The records and information provided to a participating state pursuant to the compact or through the data system, when certified by the commission or an agent thereof, shall constitute the authenticated business records of the commission and shall be entitled to any associated hearsay exception in any relevant judicial, quasi-judicial or administrative proceedings in a participating state.

#### Section 9. Rulemaking

- (a) The commission shall exercise its rulemaking powers pursuant to the criteria set forth in this section of the compact and the rules adopted under the compact. A commission rule shall become binding as of the date specified by the commission for each rule.
- (b) The commission shall promulgate reasonable rules to effectively and efficiently implement and administer the compact and achieve the compact's purposes. A commission rule shall be invalid and have no force or effect only if a court of competent jurisdiction holds that the rule is invalid because the commission exercised its rulemaking authority in a manner that is beyond the scope of the purposes of the compact, or the powers granted under the compact, or based upon another applicable standard of review.
- (c) The rules of the commission shall have the force of law in each participating state, provided where the rules of the commission conflict with the laws of the participating state that establish the medical services a PA may perform in the participating state, as held by a court of competent jurisdiction, the rules of the commission shall be ineffective in such state to the extent of the conflict.
- (d) If a majority of the legislatures of the participating states rejects a commission rule by enactment of a statute or resolution in the same manner used to adopt the compact not later than four years after the date of adoption of the commission rule, such rule shall have no further force and effect in any participating state or to any state applying to participate in the compact.

LCO **21** of 32

604 605	(e) Commission rules shall be adopted at a regular or special meeting of the commission.
606 607 608 609	(f) Prior to promulgation and adoption of a final rule or rules by the commission, and at least thirty days prior to the meeting at which the rule will be considered and voted upon, the commission shall file a notice of proposed rulemaking:
610 611	(1) On the Internet web site of the commission or other publicly accessible platform;
612 613	(2) To persons who have requested notice of the commission's notices of proposed rulemaking; and
614	(3) In such other manners as the commission may by rule specify.
615	(g) The notice of proposed rulemaking shall include the following:
616 617 618	(1) The time, date and location of the public hearing on the proposed rule and the proposed time, date and location of the meeting in which the proposed rule will be considered and voted upon;
619	(2) The text of the proposed rule and the reason for the proposed rule;
620 621	(3) A request for comments on the proposed rule from any interested person and the date by which written comments must be received; and
622	(4) The manner in which interested persons may submit notice to the
623	commission of their intention to attend the public hearing or provide
624	any written comments.
625	(h) Prior to adoption of a proposed rule, the commission shall allow
626	persons to submit written data, facts, opinions and arguments, which
627	shall be made available to the public.
628	(i) If the hearing is to be held via electronic means, the commission

(1) All persons wishing to be heard at the hearing shall, as directed in

shall publish the mechanism for access to the electronic hearing.

629

630

LCO **22** of 32

- the notice of proposed rulemaking, notify the commission of their desire to appear and testify at the hearing not less than five business days prior
- 633 to the scheduled date of the hearing.

648

649

- (2) Hearings shall be conducted in a manner providing each person who wishes to comment a fair and reasonable opportunity to comment orally or in writing.
- (3) All hearings shall be recorded. A copy of the recording and the written comments, data, facts, opinions and arguments received in response to the proposed rulemaking shall be made available to a person upon request.
- (4) Nothing in this section of the compact shall be construed to require a separate hearing on each proposed rule. Proposed rules may be grouped for the convenience of the commission at hearings required by this section of the compact.
- (j) Following the public hearing, the commission shall consider all written and oral comments timely received.
  - (k) The commission shall, by majority vote of all delegates, take final action on the proposed rule and determine the effective date of the rule, if adopted, based on the rulemaking record and the full text of the rule.
- (1) If adopted, the rule shall be posted on the commission's Internet web site.
- (2) The commission may adopt changes to the proposed rule, provided the changes do not expand the original purpose of the proposed rule.
- (3) The commission shall post on its Internet web site an explanation of the reasons for substantive changes made to the proposed rule and the reasons for any substantive changes that were recommended by commenters but not made.
- (4) The commission shall determine a reasonable effective date for the

LCO **23** of 32

rule. Except for an emergency as provided in subsection (l) of this section of the compact, the effective date of the rule shall be no sooner than thirty days after the commission issued the notice that it adopted the rule.

- (l) Upon determination that an emergency exists, the commission may consider and adopt an emergency rule with twenty-four hours' prior notice, without the opportunity for comment or hearing, provided the usual rulemaking procedures provided in the compact and in this section of the compact shall be retroactively applied to the rule as soon as reasonably possible, but in no event later than ninety days after the effective date of the rule. For the purposes of this subsection, "emergency rule" means a rule that shall be adopted immediately by the commission to:
- (1) Meet an imminent threat to public health, safety or welfare;
- 674 (2) Prevent a loss of commission or participating state funds;
  - (3) Meet a deadline for the promulgation of a commission rule that is established by federal law or rule; or
- 677 (4) Protect public health or safety.

(m) The commission or an authorized committee of the commission may direct revisions to a previously adopted commission rule for purposes of correcting typographical errors, errors in format, errors in consistency or grammatical errors. Public notice of any revisions shall be posted on the Internet web site of the commission. The revision shall be subject to challenge by any person for a period of thirty days after posting. The revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge shall be made as set forth in the notice of revisions and delivered to the commission prior to the end of the notice period. If no challenge is made, the revision shall take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the commission.

LCO **24** of 32

- (n) No participating state's rulemaking requirements shall apply under the compact.
- Section 10. Oversight, Dispute Resolution and Enforcement
- 693 (a) Oversight

- (1) The executive and judicial branches of state government in each participating state shall enforce the compact and take all actions necessary and appropriate to implement the compact.
  - (2) Venue shall be proper and judicial proceedings by or against the commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the commission is located. The commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings. Nothing in this subdivision shall be construed to affect or limit the selection or propriety of venue in any action against a licensee for professional malpractice, misconduct or any such similar matter.
    - (3) The commission shall be entitled to receive service of process in any proceeding regarding the enforcement or interpretation of the compact or the commission's rules and shall have standing to intervene in such a proceeding for all purposes. Failure to provide the commission with service of process shall render a judgment or order in such proceeding void as to the commission, the compact or commission rules.
      - (b) Default, Technical Assistance and Termination
    - (1) If the commission determines that a participating state has defaulted in the performance of its obligations or responsibilities under the compact or the commission rules, the commission shall provide written notice to the defaulting state and other participating states. The notice shall describe the default, the proposed means of curing the default and any other action that the commission may take and shall offer remedial training and specific technical assistance regarding the

LCO **25** of 32

720 default.

728

729

730

731

732

733

738

739

740

741

742

743

744

745

746

747

748

749

750

- 721 (2) If a state in default fails to cure the default, the defaulting state 722 may be terminated from the compact upon an affirmative vote of a 723 majority of the delegates of the participating states, and all rights, 724 privileges and benefits conferred by the compact upon such state may 725 be terminated on the effective date of termination. A cure of the default 726 shall not relieve the offending state of obligations or liabilities incurred 727 during the period of default.
  - (3) Termination of participation in the compact shall be imposed only after all other means of securing compliance have been exhausted. The commission shall provide notice of intent to suspend or terminate to the governor and majority and minority leaders of the defaulting state's legislature and the licensing board or boards of each of the participating states.
- (4) A state that has been terminated shall be responsible for all assessments, obligations and liabilities incurred through the effective date of termination, including, but not limited to, obligations that extend beyond the effective date of termination.
  - (5) The commission shall not bear any costs related to a state that is found to be in default or that has been terminated from the compact, unless agreed upon in writing between the commission and the defaulting state.
  - (6) The defaulting state may appeal its termination from the compact by the commission by petitioning the United States District Court for the District of Columbia or the federal district where the commission has its principal offices. The prevailing member shall be awarded all costs of such litigation, including, but not limited to, reasonable attorney's fees.
  - (7) Upon the termination of a state's participation in the compact, the state shall immediately provide notice to all licensees within such state of such termination.

LCO **26** of 32

- (A) Licensees who have been granted a compact privilege in such state shall retain the compact privilege for one hundred eighty days following the effective date of such termination.
- (B) Licensees who are licensed in such state who have been granted a compact privilege in a participating state shall retain the compact privilege for one hundred eighty days unless the licensee also has a qualifying license in a participating state or obtains a qualifying license in a participating state before the one-hundred-eighty-day period ends, in which case the compact privilege shall continue.
- (c) Dispute Resolution

752

753

754

755

756

757

758

759

760

761

765

766

768

769

770

771

772

773

774

775

776

777

778

779

780

- (1) Upon request by a participating state, the commission shall 762 attempt to resolve disputes related to the compact that arise among 763 participating states and between participating and nonparticipating 764 states.
  - (2) The commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes as appropriate.
- 767 (d) Enforcement
  - (1) The commission, in the reasonable exercise of its discretion, shall enforce the provisions of the compact and rules of the commission.
    - (2) If compliance is not secured after all means to secure compliance have been exhausted, the commission may, by majority vote, initiate legal action in the United States District Court for the District of Columbia, or the federal district where the commission has its principal offices, against a participating state in default to enforce compliance with the provisions of the compact and the commission's promulgated rules and bylaws. The relief sought may include both injunctive relief and damages. If judicial enforcement is necessary, the prevailing party shall be awarded all costs of such litigation, including reasonable attorney's fees.
  - (3) The remedies set forth in subdivision (2) of this subsection shall

LCO **27** of 32

- 781 not be the exclusive remedies of the commission. The commission may 782 pursue any other remedies available under federal or state law.
  - (e) Legal Action Against the Commission

795

796

797

798

799

800

801

802

803

804

805

806

807

808

809 810

- (1) A participating state may initiate legal action against the commission in the United States District Court for the District of Columbia, or the federal district where the commission has its principal offices, to enforce compliance with the provisions of the compact and its rules. The relief sought may include both injunctive relief and damages. If judicial enforcement is necessary, the prevailing party shall be awarded all costs of such litigation, including reasonable attorney's fees.
- 791 (2) No person other than a participating state shall enforce the compact against the commission.
- Section 11. Date of Implementation of the PA Licensure Compact Commission
  - (a) The compact shall come into effect on the date on which this compact statute is enacted into law in the seventh participating state.
    - (1) On or after the effective date of the compact, the commission shall convene and review the enactment of each of the states that enacted the compact prior to the commission convening, which shall be known as the charter participating states, to determine if the statute enacted by each such charter participating state is materially different from the compact.
    - (A) A charter participating state whose enactment is found to be materially different from the compact shall be entitled to the default process set forth in subsection (b) of section 10 of the compact.
    - (B) If any participating state later withdraws from the compact or its participation is terminated, the commission shall remain in existence and the compact shall remain in effect even if the number of participating states is less than seven after such withdrawal. Participating states enacting the compact subsequent to the commission

LCO **28** of 32

convening shall be subject to the process set forth in subdivision (21) of subsection (c) of section 7 of the compact to determine if such enactments are materially different from the compact and whether such participating states qualify for participation in the compact.

- (2) Participating states enacting the compact subsequent to the seven initial charter participating states shall be subject to the process set forth in subdivision (21) of subsection (c) of section 7 of the compact to determine if such enactments are materially different from the compact and whether such participating states qualify for participation in the compact.
- (3) All actions taken for the benefit of the commission or in furtherance of the purposes of the administration of the compact prior to the effective date of the compact or the commission coming into existence shall be considered to be actions of the commission unless specifically repudiated by the commission.
- (b) Any state that joins the compact shall be subject to the commission's rules and bylaws as such rules and bylaws exist on the date on which the compact becomes law in such state. Any rule that has been previously adopted by the commission shall have the full force and effect of law on the day the compact becomes law in such state.
- (c) Any participating state may withdraw from the compact by enacting a statute repealing the compact.
- (1) A participating state's withdrawal from the compact shall not take effect until one hundred eighty days after enactment of the repealing statute. During such one-hundred-eighty-day period, all compact privileges that were in effect in the withdrawing state and were granted to licensees licensed in the withdrawing state shall remain in effect. If any licensee licensed in the withdrawing state is also licensed in another participating state or obtains a license in another participating state on or before one hundred eighty days after such withdrawal, the licensee's compact privileges in other participating states shall not be affected by the passage of such one hundred eighty days.

LCO **29** of 32

(2) Withdrawal under subsection (d) of this section of the compact shall not affect the continuing requirement of the state licensing board or boards of the withdrawing state to comply with the investigative and adverse action reporting requirements of the compact prior to the effective date of withdrawal.

- (3) Upon the enactment of a statute withdrawing a state from the compact, the state shall immediately provide notice of such withdrawal to all licensees in such state. Such withdrawing state shall continue to recognize all licenses granted pursuant to the compact for a minimum of one hundred eighty days after the date of such notice of withdrawal.
- (d) Nothing in the compact shall be construed to invalidate or prevent any PA licensure agreement or other cooperative arrangement between participating states and between a participating state and nonparticipating state that does not conflict with the provisions of the compact.
- (e) The compact may be amended by the participating states. No amendment to the compact shall become effective and binding upon any participating state until it is enacted materially in the same manner into the laws of all participating states as determined by the commission.

### Section 12. Construction and Severability

- (a) The compact and the commission's rulemaking authority shall be liberally construed to effectuate the purposes and the implementation and administration of the compact. Provisions of the compact expressly authorizing or requiring the promulgation of rules shall not be construed to limit the commission's rulemaking authority solely for those purposes.
- (b) The provisions of the compact shall be severable and if any phrase, clause, sentence or provision of the compact is held by a court of competent jurisdiction to be contrary to the constitution of any participating state, a state seeking participation in the compact or of the

LCO 30 of 32

- United States, or the applicability of the compact to any government, agency, person or circumstance is held to be unconstitutional by a court of competent jurisdiction, the validity of the remainder of the compact and the applicability thereof to any other government, agency, person or circumstance shall not be affected thereby.
- (c) Notwithstanding the provisions of subsection (b) of this section of the compact, the commission may deny a state's participation in the compact or, in accordance with the requirements of subsection (b) of section 10 of the compact, terminate a participating state's participation in the compact if it determines that a constitutional requirement of a participating state is, or would be with respect to a state seeking to participate in the compact, a material departure from the compact. Otherwise, if the compact is held to be contrary to the constitution of any participating state, the compact shall remain in full force and effect as to the remaining participating states and in full force and effect as to the participating state affected as to all severable matters.

## Section 13. Binding Effect of Compact

- (a) Nothing in the compact shall prevent the enforcement of any other law of a participating state that is not inconsistent with the compact.
  - (b) Any laws in a participating state in conflict with the compact are superseded to the extent of the conflict.
- (c) All agreements between the commission and the participating states are binding in accordance with the terms of such agreements.
- Sec. 2. (NEW) (Effective July 1, 2025) The Commissioner of Public Health shall require each person applying for licensure as a physician assistant to submit to a state and national fingerprint-based criminal history records check pursuant to section 29-17a of the general statutes. As used in this section, (1) "physician assistant" means an individual licensed to practice as a physician assistant, and (2) "licensure" means authorization by a state physician assistant regulatory authority to practice as a physician assistant, the practice of which would be

LCO **31** of 32

### 905 unlawful without such authorization.

This act shall take effect as follows and shall amend the following sections:					
Section 1	July 1, 2025	New section			
Sec. 2	July 1, 2025	New section			

#### Statement of Legislative Commissioners:

In Section 5 of Section 1, the subsection (a) designator was deleted, in Section 6(b) of Section 1, the subdivision (3) designator was deleted, the sentence beginning with "Notwithstanding" was moved to after "located." In subdivision (2), "subdivision (2) of this subsection" was changed to "this subdivision" and the subdivision (4) designator was changed to a subsection (c) designator, and the existing subsection designators (c) to (h), inclusive, were changed to subsection designators (d) to (i), inclusive, for consistency with standard drafting conventions.

PH Joint Favorable Subst. -LCO

LCO 32 of 32