

General Assembly

January Session, 2025

## Raised Bill No. 1227

LCO No. **3780** 

Referred to Committee on GOVERNMENT ADMINISTRATION AND ELECTIONS

Introduced by: (GAE)

## AN ACT INCREASING VARIOUS MONETARY THRESHOLDS UNDER THE STATE CODES OF ETHICS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- Section 1. Subdivision (5) of section 1-79 of the general statutes is
   repealed and the following is substituted in lieu thereof (*Effective October* 1, 2025):
- (5) "Gift" means anything of value, which is directly and personally
  received, unless consideration of equal or greater value is given in
  return. "Gift" does not include:
- (A) A political contribution otherwise reported as required by law or
  a donation or payment as described in subdivision (9) or (10) of
  subsection (b) of section 9-601a;
- (B) Services provided by persons volunteering their time, if provided
  to aid or promote the success or defeat of any political party, any
  candidate or candidates for public office or the position of convention
  delegate or town committee member or any referendum question;

14 (C) A commercially reasonable loan made on terms not more15 favorable than loans made in the ordinary course of business;

(D) A gift received from (i) an individual's spouse, fiancé or fiancée,
(ii) the parent, grandparent, brother or sister of such spouse or such
individual, or (iii) the child of such individual or the spouse of such
child;

(E) Goods or services (i) that are provided to a state agency or quasipublic agency (I) for use on state or quasi-public agency property, or (II)
that support an event or the participation by a public official or state
employee at an event, and (ii) that facilitate state or quasi-public agency
action or functions. As used in this subparagraph, "state property"
means property owned by the state or a quasi-public agency or property
leased to a state agency or quasi-public agency;

27 (F) A certificate, plaque or other ceremonial award costing less than
28 [one] two hundred <u>fifty</u> dollars;

29 (G) A rebate, discount or promotional item available to the general30 public;

31 (H) Printed or recorded informational material germane to state32 action or functions;

(I) Food or beverage or both, costing less than [fifty] <u>one hundred</u>
dollars in the aggregate per recipient in a calendar year, and consumed
on an occasion or occasions at which the person paying, directly or
indirectly, for the food or beverage, or [his] <u>such person's</u> representative,
is in attendance;

(J) Food or beverage or both, costing less than [fifty] <u>one hundred</u> dollars per person and consumed at a publicly noticed legislative reception to which all members of the General Assembly are invited and which is hosted not more than once in any calendar year by a lobbyist or business organization. For the purposes of such limit, (i) a reception 43 hosted by a lobbyist who is an individual shall be deemed to have also 44 been hosted by the business organization which such lobbyist owns or 45 is employed by, and (ii) a reception hosted by a business organization 46 shall be deemed to have also been hosted by all owners and employees 47 of the business organization who are lobbyists. In making the 48 calculation for the purposes of such [fifty-dollar] one-hundred-dollar 49 limit, the donor shall divide the amount spent on food and beverage by 50 the number of persons whom the donor reasonably expects to attend the 51 reception;

52 (K) Food or beverage or both, costing less than [fifty] one hundred 53 dollars per person and consumed at a publicly noticed reception to 54 which all members of the General Assembly from a region of the state 55 are invited and which is hosted not more than once in any calendar year 56 by a lobbyist or business organization. For the purposes of such limit, (i) 57 a reception hosted by a lobbyist who is an individual shall be deemed 58 to have also been hosted by the business organization which such 59 lobbyist owns or is employed by, and (ii) a reception hosted by a 60 business organization shall be deemed to have also been hosted by all 61 owners and employees of the business organization who are lobbyists. 62 In making the calculation for the purposes of such [fifty-dollar] one-63 hundred-dollar limit, the donor shall divide the amount spent on food 64 and beverage by the number of persons whom the donor reasonably 65 expects to attend the reception. As used in this subparagraph, "region of 66 the state" means the established geographic service area of the 67 organization hosting the reception;

(L) A gift, including, but not limited to, food or beverage or both,
provided by an individual for the celebration of a major life event,
provided any such gift provided by an individual who is not a member
of the family of the recipient does not exceed one thousand <u>five hundred</u>
dollars in value;

73 (M) Gifts costing less than [one] <u>two</u> hundred <u>fifty</u> dollars in the 74 aggregate or food or beverage provided at a hospitality suite at a 75 meeting or conference of an interstate legislative association, by a 76 person who is not a registrant or is not doing business with the state of 77 Connecticut;

(N) Admission to a charitable or civic event, including food and
beverage provided at such event, but excluding lodging or travel
expenses, at which a public official or state employee participates in his
or her official capacity, provided such admission is provided by the
primary sponsoring entity;

(O) Anything of value provided by an employer of (i) a public official,
(ii) a state employee, or (iii) a spouse of a public official or state
employee, to such official, employee or spouse, provided such benefits
are customarily and ordinarily provided to others in similar
circumstances;

(P) Anything having a value of not more than [ten] <u>twenty</u> dollars,
provided the aggregate value of all things provided by a donor to a
recipient under this subdivision in any calendar year does not exceed
[fifty] <u>one hundred</u> dollars;

(Q) Training that is provided by a vendor for a product purchased by
a state or quasi-public agency that is offered to all customers of such
vendor;

(R) Travel expenses, lodging, food, beverage and other benefits
customarily provided by a prospective employer, when provided to a
student at a public institution of higher education whose employment
is derived from such student's status as a student at such institution, in
connection with bona fide employment discussions;

100 (S) Expenses of a public official, paid by the party committee of which 101 party such official is a member, for the purpose of accomplishing the 102 lawful purposes of the committee. As used in this subparagraph, "party 103 committee" has the same meaning as provided in subdivision (2) of 104 section 9-601 and "lawful purposes of the committee" has the same 105 meaning as provided in subsection (g) of section 9-607; or

(T) Travel expenses, lodging, food, beverage and other benefits
customarily provided in the course of employment, when provided to a
public member of the Investment Advisory Council established under
section 3-13b.

110 Sec. 2. Subsection (i) of section 1-84 of the general statutes is repealed 111 and the following is substituted in lieu thereof (*Effective October 1, 2025*):

112 (i) (1) No public official or state employee or member of the official 113 or employee's immediate family or a business with which he is 114 associated shall enter into any contract with the state, valued at [one] 115 two hundred fifty dollars or more, other than a contract (A) of 116 employment as a state employee, (B) with the Technical Education and 117 Career System for students enrolled in a school in the system to perform 118 services in conjunction with vocational, technical, technological or 119 postsecondary education and training any such student is receiving at a 120 school in the system, subject to the review process under subdivision (2) 121 of this subsection, (C) with a public institution of higher education to 122 support a collaboration with such institution to develop and 123 commercialize any invention or discovery, or (D) pursuant to a court 124 appointment, unless the contract has been awarded through an open 125 and public process, including prior public offer and subsequent public 126 disclosure of all proposals considered and the contract awarded. In no 127 event shall an executive head of an agency, as defined in section 4-166, 128 including a commissioner of a department, or an executive head of a 129 quasi-public agency, or the executive head's immediate family or a 130 business with which he is associated enter into any contract with that 131 agency or quasi-public agency. Nothing in this subsection shall be 132 construed as applying to any public official who is appointed as a 133 member of the executive branch or as a member or director of a quasi-134 public agency and who receives no compensation other than per diem 135 payments or reimbursement for actual or necessary expenses, or both, 136 incurred in the performance of the public official's duties unless such

public official has authority or control over the subject matter of the
contract. Any contract made in violation of this subsection shall be
voidable by a court of competent jurisdiction if the suit is commenced
not later than one hundred eighty days after the making of the contract.

- (2) The superintendent of the Technical Education and Career System
  shall establish an open and transparent process to review any contract
  entered into under subparagraph (B) of subdivision (1) of this
  subsection.
- Sec. 3. Subsection (o) of section 1-84 of the general statutes is repealedand the following is substituted in lieu thereof (*Effective October 1, 2025*):

147 (o) If (1) any person (A) is doing business with or seeking to do 148 business with the department or agency in which a public official or 149 state employee is employed, or (B) is engaged in activities which are 150 directly regulated by such department or agency, and (2) such person or 151 a representative of such person gives to such public official or state 152 employee anything having a value of more than [ten] twenty dollars, 153 such person or representative shall, not later than ten days thereafter, 154 give such recipient and the executive head of the recipient's department 155 or agency a written report stating the name of the donor, a description 156 of the item or items given, the value of such items and the cumulative 157 value of all items given to such recipient during that calendar year. The 158 provisions of this subsection shall not apply to a political contribution 159 otherwise reported as required by law.

Sec. 4. Subdivision (6) of section 1-91 of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective October*1, 2025):

(6) "Expenditure" means any advance, conveyance, deposit,
distribution, transfer of funds, loan, payment, unless expressly
excluded; any payments for telephone, mailing, postage, printing and
other clerical or office services and materials; any paid communications,
costing [fifty] one hundred dollars or more in any calendar year,

168 disseminated by means of any printing, broadcasting or other medium, 169 provided such communications refer to pending administrative or 170 legislative action; any contract, agreement, promise or other obligation; any solicitation or solicitations, costing [fifty] one hundred dollars or 171 172 more in the aggregate for any calendar year, of other persons to 173 communicate with a public official or state employee for the purpose of 174 influencing any legislative or administrative act and any pledge, 175 subscription of money or anything of value. "Expenditure" does not 176 include (A) the payment of a registrant's fee pursuant to section 1-95, (B) 177 any expenditure made by any club, committee, partnership, 178 organization, business, union, association or corporation for the 179 purpose of publishing a newsletter or other release intended primarily 180 for its members, shareholders or employees, whether in written or 181 electronic form or made orally during a regularly noticed meeting, (C) 182 any expenditure made by any club, committee, partnership, 183 organization, business, union, association or corporation for the 184 purpose of transporting its members, shareholders or employees to or 185 from a specific site, where such members, shareholders or employees 186 received no other compensation or reimbursement for lobbying from 187 such club, committee, partnership, organization, business, union, 188 association or corporation, or (D) contributions, membership dues or 189 other fees paid to associations, nonstock corporations or tax-exempt 190 organizations under Section 501(c) of the Internal Revenue Code of 1986, 191 or any subsequent corresponding internal revenue code of the United 192 States, as [from time to time] amended from time to time.

Sec. 5. Subdivision (7) of section 1-91 of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective October*1, 2025):

(7) "Gift" means anything of value, which is directly and personally
received, unless consideration of equal or greater value is given in
return. "Gift" does not include:

199 (A) A political contribution otherwise reported as required by law or

a donation or payment described in subdivision (9) or (10) of subsection(b) of section 9-601a;

(B) Services provided by persons volunteering their time, if provided
to aid or promote the success or defeat of any political party, any
candidate or candidates for public office or the position of convention
delegate or town committee member or any referendum question;

206 (C) A commercially reasonable loan made on terms not more207 favorable than loans made in the ordinary course of business;

208 (D) A gift received from (i) the individual's spouse, fiancé or fiancée, 209 (ii) the parent, grandparent, brother or sister of such spouse or such 210 individual, or (iii) the child of such individual or the spouse of such 211 child;

(E) Goods or services (i) that are provided to a state agency or quasipublic agency (I) for use on state or quasi-public agency property, or (II) that support an event or the participation by a public official or state employee at an event, and (ii) that facilitate state or quasi-public agency action or functions. As used in this subparagraph, "state property" means property owned by the state or a quasi-public agency or property leased to a state or quasi-public agency;

(F) A certificate, plaque or other ceremonial award costing less than
[one] two hundred <u>fifty</u> dollars;

(G) A rebate, discount or promotional item available to the generalpublic;

(H) Printed or recorded informational material germane to stateaction or functions;

(I) Food or beverage or both, costing less than [fifty] <u>one hundred</u>
dollars in the aggregate per recipient in a calendar year, and consumed
on an occasion or occasions at which the person paying, directly or
indirectly, for the food or beverage, or [his] <u>such person's representative</u>,

## is in attendance;

230 (J) Food or beverage or both, costing less than [fifty] one hundred 231 dollars per person and consumed at a publicly noticed legislative 232 reception to which all members of the General Assembly are invited and 233 which is hosted not more than once in any calendar year by a lobbyist 234 or business organization. For the purposes of such limit, (i) a reception 235 hosted by a lobbyist who is an individual shall be deemed to have also 236 been hosted by the business organization which [he] such lobbyist owns 237 or is employed by, and (ii) a reception hosted by a business organization 238 shall be deemed to have also been hosted by all owners and employees 239 of the business organization who are lobbyists. In making the 240 calculation for the purposes of such [fifty-dollar] one-hundred-dollar 241 limit, the donor shall divide the amount spent on food and beverage by 242 the number of persons whom the donor reasonably expects to attend the 243 reception;

244 (K) Food or beverage or both, costing less than [fifty] one hundred 245 dollars per person and consumed at a publicly noticed reception to which all members of the General Assembly from a region of the state 246 247 are invited and which is hosted not more than once in any calendar year 248 by a lobbyist or business organization. For the purposes of such limit, (i) 249 a reception hosted by a lobbyist who is an individual shall be deemed 250 to have also been hosted by the business organization which [he] such 251 lobbyist owns or is employed by, and (ii) a reception hosted by a 252 business organization shall be deemed to have also been hosted by all 253 owners and employees of the business organization who are lobbyists. 254 In making the calculation for the purposes of such [fifty-dollar] one-255 hundred-dollar limit, the donor shall divide the amount spent on food 256 and beverage by the number of persons whom the donor reasonably 257 expects to attend the reception. As used in this subparagraph, "region of 258 the state" means the established geographic service area of the 259 organization hosting the reception;

260 (L) A gift, including, but not limited to, food or beverage or both,

provided by an individual for the celebration of a major life event,
provided any such gift provided by an individual who is not a member
of the family of the recipient does not exceed one thousand <u>five hundred</u>
dollars in value;

265 (M) Gifts costing less than [one] <u>two</u> hundred <u>fifty</u> dollars in the 266 aggregate or food or beverage provided at a hospitality suite at a 267 meeting or conference of an interstate legislative association, by a 268 person who is not a registrant or is not doing business with the state of 269 Connecticut;

(N) Admission to a charitable or civic event, including food and
beverage provided at such event, but excluding lodging or travel
expenses, at which a public official or state employee participates in his
or her official capacity, provided such admission is provided by the
primary sponsoring entity;

(O) Anything of value provided by an employer of (i) a public official,
(ii) a state employee, or (iii) a spouse of a public official or state
employee, to such official, employee or spouse, provided such benefits
are customarily and ordinarily provided to others in similar
circumstances;

(P) Anything having a value of not more than [ten] <u>twenty</u> dollars,
provided the aggregate value of all things provided by a donor to a
recipient under this subdivision in any calendar year does not exceed
[fifty] <u>one hundred</u> dollars; or

(Q) Training that is provided by a vendor for a product purchased by
a state or quasi-public agency that is offered to all customers of such
vendor.

Sec. 6. Subdivision (12) of section 1-91 of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective October*1, 2025):

(12) "Lobbyist" means a person who in lobbying and in furtherance
of lobbying makes or agrees to make expenditures, or receives or agrees
to receive compensation, reimbursement, or both, and such
compensation, reimbursement or expenditures are three thousand
dollars or more in any calendar year or the combined amount thereof is
three thousand dollars or more in any such calendar year. "Lobbyist"
does not include:

- (A) A public official, employee of a branch of state government or a
  subdivision thereof, including an official or employee of a quasi-public
  agency, or elected or appointed official of a municipality or his or her
  designee other than an independent contractor, who is acting within the
  scope of his or her authority or employment;
- 302 (B) A publisher, owner or an employee of the press, radio or
  303 television while disseminating news or editorial comment to the general
  304 public in the ordinary course of business;

305 (C) An individual representing himself or herself or another person
306 before the legislature or a state agency other than for the purpose of
307 influencing legislative or administrative action;

308 (D) Any individual or employee who receives no compensation or 309 reimbursement specifically for lobbying and who limits his <u>or her</u> 310 activities solely to formal appearances to give testimony before public 311 sessions of committees of the General Assembly or public hearings of 312 state agencies and who, if he or she testifies, registers his or her 313 appearance in the records of such committees or agencies;

(E) A member of an advisory board acting within the scope of his orher appointment;

316 (F) A senator or representative in Congress acting within the scope of317 his or her office;

318 (G) Any person who receives no compensation or reimbursement

319 specifically for lobbying and who spends no more than five hours in 320 furtherance of lobbying unless such person (i) exclusive of salary, 321 receives compensation or makes expenditures, or both, of three 322 thousand dollars or more in any calendar year for lobbying or the 323 combined amount thereof is three thousand dollars or more in any such 324 calendar year, or (ii) expends [fifty] one hundred dollars or more for the 325 benefit of a public official in the legislative or executive branch, a 326 member of his or her staff or immediate family;

327 (H) A communicator lobbyist who receives or agrees to receive
328 compensation, reimbursement, or both, the aggregate amount of which
329 is less than three thousand dollars from each client in any calendar year.

330 Sec. 7. Subsection (a) of section 1-96 of the general statutes is repealed 331 and the following is substituted in lieu thereof (*Effective October 1, 2025*):

332 (a) Each client lobbyist registrant shall file with the Office of State 333 Ethics between the first and tenth day of April, July and January a 334 financial report, signed under penalty of false statement. The April and 335 July reports shall cover its lobbying activities during the previous 336 calendar quarter and the January report shall cover its lobbying 337 activities during the previous two calendar quarters. In addition to such 338 reports, each client lobbyist registrant which attempts to influence 339 legislative action shall file, under penalty of false statement, interim 340 monthly reports of its lobbying activities for each month the General 341 Assembly is in regular session, except that no monthly report shall be 342 required for any month in which it neither expends nor agrees to expend 343 [one] two hundred fifty dollars or more in furtherance of lobbying. Such 344 interim monthly reports shall be filed with the Office of State Ethics no 345 later than the tenth day of the month following the last day of the month 346 reported. If the client lobbyist registrant is not an individual, an 347 authorized officer or agent of the client lobbyist registrant shall sign the 348 form. A communicator lobbyist for a municipality or any subdivision of 349 a municipality shall file the reports described in this subsection utilizing 350 the client lobbyist reporting schedule.

351 Sec. 8. Subsection (e) of section 1-96 of the general statutes is repealed 352 and the following is substituted in lieu thereof (*Effective October 1, 2025*):

353 (e) Each client lobbyist registrant financial report shall be on a form 354 prescribed by the board and shall state expenditures made and the 355 fundamental terms of contracts, agreements or promises to pay 356 compensation or reimbursement or to make expenditures in furtherance 357 of lobbying. Any such fundamental terms shall be reported once in the 358 monthly, quarterly or post-termination report next following the 359 entering into of such contract. Such financial report shall include an 360 itemized statement of each expenditure of [ten] twenty dollars or more 361 per person for each occasion made by the reporting registrant or a group 362 of registrants which includes the reporting registrant for the benefit of a 363 public official in the legislative or executive branch, a member of his or 364 her staff or immediate family, itemized by date, beneficiary, amount and 365 circumstances of the transaction. The requirement of an itemized 366 statement shall not apply to an expenditure made by a reporting 367 registrant or a group of registrants which includes the reporting 368 registrant for (1) the benefit of the members of the General Assembly at 369 an event that is a reception to which all such members are invited or all 370 members of a region of the state, as such term is used in subparagraph 371 (K) of subdivision (7) of section 1-91, as amended by this act, are invited, 372 unless the expenditure is [thirty] <u>sixty</u> dollars or more per person, or (2) 373 benefits personally and directly received by a public official or state 374 employee at a charitable or civic event at which the public official or 375 state employee participates in his or her official capacity, unless the 376 expenditure is [thirty] sixty dollars or more per person, per event. If the 377 compensation is required to be reported for an individual whose lobbying is incidental to his or her regular employment, it shall be 378 379 sufficient to report a prorated amount based on the value of the time 380 devoted to lobbying. On the first financial report following registration 381 each client lobbyist registrant shall include any expenditures incident to 382 lobbying activities that were received or expended prior to registration 383 and not previously reported to the Office of State Ethics.

384 Sec. 9. Subsection (g) of section 1-96 of the general statutes is repealed 385 and the following is substituted in lieu thereof (*Effective October 1, 2025*):

(g) Each former registrant shall (1) report receipts or expenditures incident to lobbying activities during his <u>or her</u> period of registration which are received or expended following termination of registration and (2) report each expenditure of [ten] <u>twenty</u> dollars or more per person for each occasion made by [him] <u>such registrant</u> for the benefit of a public official or a member of such official's immediate family or staff which occurs within six months after termination of registration.

Sec. 10. Subsection (a) of section 1-96a of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective October*1, 2025):

(a) Each registrant shall obtain and preserve all accounts, bills,
receipts and other documents necessary to substantiate the financial
reports required by section 1-96, as amended by this act, for a period of
three years from the date of the filing of the report referring to such
financial matters, provided this section shall apply to each expenditure
for the benefit of a public official of [ten] twenty dollars or more and all
other expenditures of [fifty] one hundred dollars or more.

403 Sec. 11. Section 1-96e of the general statutes is repealed and the 404 following is substituted in lieu thereof (*Effective October 1, 2025*):

Each registrant who pays or reimburses a public official or state employee [ten] <u>twenty</u> dollars or more for necessary expenses, as defined in section 1-79, <u>as amended by this act</u>, shall, within forty-five days, file a statement with the Office of State Ethics indicating the name of such individual and the amount of the expenses.

This act shall take effect as follows and shall amend the following sections:

Section 1	October 1, 2025	1-79(5)
Sec. 2	October 1, 2025	1-84(i)

Sec. 3	<i>October 1, 2025</i>	1-84(o)
Sec. 4	<i>October 1, 2025</i>	1-91(6)
Sec. 5	<i>October 1, 2025</i>	1-91(7)
Sec. 6	October 1, 2025	1-91(12)
Sec. 7	October 1, 2025	1-96(a)
Sec. 8	October 1, 2025	1-96(e)
Sec. 9	October 1, 2025	1-96(g)
Sec. 10	<i>October 1, 2025</i>	1-96a(a)
Sec. 11	October 1, 2025	1-96e

## Statement of Purpose:

To increase the monetary thresholds for various prohibitions, requirements or other additional conditions under the State Codes of Ethics.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]