

General Assembly

January Session, 2025

# Raised Bill No. 1541

LCO No. **6735** 

Referred to Committee on JUDICIARY

Introduced by: (JUD)

## AN ACT CONCERNING THE OFFICE OF THE CORRECTION OMBUDS, USE OF FORCE AND BODY CAMERAS IN CORRECTIONAL FACILITIES, CLAIMS AGAINST THE STATE BY PERSONS WHO ARE INCARCERATED.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. Subsection (m) of section 18-81jj of the general statutes is 2 repealed and the following is substituted in lieu thereof (*Effective from*
- 3 passage):
- (m) The person appointed as Correction Ombuds shall serve for an initial term of [two] <u>four</u> years <u>to run concurrent with the term of the</u> <u>Governor</u> and may serve until a successor is appointed and confirmed in accordance with this section. Such person may be reappointed for succeeding terms.
- 9 Sec. 2. Section 18-81qq of the general statutes is repealed and the 10 following is substituted in lieu thereof (*Effective from passage*):
- (a) (1) There is, within the Office of Governmental Accountabilityestablished under section 1-300, the Office of the Correction Ombuds for

13 the provision of ombuds services. The Correction Ombuds appointed

pursuant to section 18-81jj, as amended by this act, shall be the head of
 said office.

16 (2) For purposes of this section, "ombuds services" includes:

17 (A) Evaluating the delivery of services to <u>persons who are</u>
18 incarcerated [persons] by the Department of Correction;

(B) Reviewing periodically the nonemergency procedures
established by the department to carry out the provisions of title 18 and
evaluating whether such procedures conflict with the rights of
[incarcerated] persons <u>who are incarcerated;</u>

(C) Receiving communications, [from persons in the custody of the
Commissioner of Correction] <u>including telephone calls and electronic</u>
<u>mail from persons who are incarcerated, who shall be permitted to make</u>
<u>such telephone or electronic mail communications free of charge,</u>
regarding decisions, actions, omissions, policies, procedures, rules or
regulations of the department;

29 (D) Conducting announced or unannounced site visits of correctional 30 facilities administered by the department, without restrictions on such 31 visits, including during periods when a facility is locked down or 32 experiencing a facility-wide emergency, provided the department may 33 restrict access to a portion of a facility in an emergency situation for the 34 duration of the emergency. For the purpose of this subdivision, a 35 situation or event constituting an emergency shall be determined by the 36 commissioner or the commissioner's designee, to be a situation 37 constituting a significant risk to the safety or security of the facility, or 38 the health, safety or security of department staff or persons who are 39 incarcerated, or an event that significantly compromises the operations 40 of the facility;

41 (E) Reviewing the operation of correctional facilities and 42 nonemergency procedures employed at such facilities. Nonemergency 43 procedures include, but are not limited to, the department's use of force44 procedures;

45 (F) Recommending procedure and policy revisions to the 46 department;

(G) Taking all possible actions, including, but not limited to,
conducting programs of public education, undertaking legislative
advocacy and making proposals for systemic reform and formal legal
action in order to secure and ensure the rights of persons in the custody
of the commissioner. The Correction Ombuds shall exhaust all other
means to reach a resolution before initiating litigation; [and]

53 (H) Conducting surveys by sending or distributing during facility visits, confidential written and electronic communications or 54 55 guestionnaires to persons who are incarcerated or employees of the 56 Department of Correction concerning conditions of confinement, 57 working conditions or other subjects within the scope of the duties of 58 the Office of the Correction Ombuds, without prior approval of the 59 department. Such persons who are incarcerated or employees shall be 60 permitted to complete and return to said office such surveys either in 61 written format or electronically; and

[(H)] (I) Publishing on an Internet web site operated by the Office of
the Correction Ombuds a semiannual summary of all ombuds services
and activities during the six-month period before such publication.

(b) Notwithstanding any provision of the general statutes, the
Correction Ombuds shall act independently of any department in the
performance of the office's duties.

(c) The Correction Ombuds may, within available funds, appoint
such staff as may be deemed necessary. The duties of the staff may
include the duties and powers of the Correction Ombuds if performed
under the direction of the Correction Ombuds.

[(d) The General Assembly shall annually appropriate such sums as necessary for the payment of the salaries of the staff and for the payment of office expenses and other actual expenses incurred by the Correction Ombuds in the performance of the Correction Ombuds' duties. Any legal or court fees obtained by the state in actions brought by the Correction Ombuds shall be deposited in the General Fund.]

78 (d) (1) Notwithstanding any provision of the general statutes, the 79 appropriations recommended for the Office of the Correction Ombuds shall be the estimates of the expenditure requirements transmitted to the 80 81 Secretary of the Office of Policy and Management by the Correction 82 Ombuds and the recommended adjustments and revisions of such 83 estimates shall be the recommended adjustments and revisions, if any, 84 transmitted by said Correction Ombuds to the director of Policy and 85 Management.

86 (2) Notwithstanding any provision of the general statutes, the
 87 Governor shall not reduce allotment requisitions or allotments in force
 88 concerning the Office of the Correction Ombuds.

(e) (1) The Correction Ombuds need not investigate a complaint, if
 the Correction Ombuds determines such investigation is not warranted.

91 [(e)] (2) In the course of [investigations] an investigation, the 92 Correction Ombuds shall rely on a variety of sources to corroborate 93 matters raised by persons who are incarcerated [persons] or others. 94 Where such matters turn on validation of particular incidents, the 95 Correction Ombuds shall endeavor to rely on communications from 96 persons who are incarcerated [persons] who have reasonably pursued a 97 resolution of the complaint through any existing internal grievance 98 procedures of the Department of Correction. In all events, the 99 Correction Ombuds shall make good faith efforts to provide an 100 opportunity to the Commissioner of Correction to investigate and to 101 respond to such concerns prior to making such matters public.

#### 102 (3) (A) At the conclusion of an investigation, the Correction Ombuds

103 shall render a public decision on the merits of each complaint, except 104 that the documents supporting the decision are subject to relevant confidentiality provisions. The Correction Ombuds shall communicate 105 the decision to the person making the complaint and to the department. 106 107 The Correction Ombuds shall include in any decision (i) findings of any 108 department administrative directive, state or constitutional right that has been violated by the department or an employee of the department; 109 110 and (ii) recommendations and reasoning if, in the Correction Ombuds' 111 opinion, the department or any employee should (I) further consider the 112 matter investigated; (II) modify or cancel an action of the department or 113 employee; (III) alter a rule, practice or ruling; (IV) explain in detail the 114 action in question; or (V) rectify an omission of the department or 115 employee. 116 (B) Prior to issuing a decision pursuant to subparagraph (A) of this 117 subdivision that expressly, or by implication, criticizes the department or an employee of the department, the Correction Ombuds shall consult 118 119 with the department or employee, as applicable. 120 (4) At the Correction Ombuds' request, the department shall, within 121 the time specified by the Correction Ombuds, inform the Correction 122 Ombuds of any action taken on recommendations contained in a 123 decision pursuant to subdivision (3) of this subsection or any reason for 124 not complying with any such recommendation. The Correction Ombuds 125 shall notify the incarcerated person whose complaint resulted in a 126 decision containing such recommendation, of any action taken by the 127 department in response to such recommendation, unless such action is confidential. 128 129 (f) (1) All oral and written communications, including, but not limited to, in response to any survey, and records relating to such 130 communications between a person in the custody of the Commissioner 131 132 of Correction, or an employee of the Department of Correction, and the

133 Correction Ombuds or a member of the Office of the Correction 134 Ombuds staff, including, but not limited to, the identity of a 135 complainant, the details of the communications and the Correction 136 Ombuds' findings shall be confidential and shall not be disclosed 137 without the consent of such person, except that the Correction Ombuds 138 may disclose without the consent of such person general findings or 139 policy recommendations based on such communications, provided no 140 individually identifiable information is disclosed. The Correction 141 Ombuds shall disclose sufficient information to the Commissioner of 142 Correction or the commissioner's designee as is necessary to respond to 143 the Correction Ombuds' inquiries or to carry out recommendations, but 144 such information may not be further disclosed outside of the 145 Department of Correction.

(2) Mail received by the Office of Correction Ombuds shall be
privileged communication, and any and all processing controls,
allowances for limited free postage and advances of funds to persons
who are incarcerated for postage shall apply to such privileged
communications sent to said office. For the purposes of this section,
identical or blank surveys and questionnaires received by said office
shall not be privileged communication.

153 (g) Notwithstanding the provisions of subsection (f) of this section, 154 whenever in the course of carrying out the Correction Ombuds' duties, 155 the Correction Ombuds or a member of the Office of the Correction 156 Ombuds staff becomes aware of the commission or planned commission 157 of a criminal act or threat that the Correction Ombuds reasonably 158 believes is likely to result in death or substantial bodily harm, the 159 Correction Ombuds shall notify the Commissioner of Correction or an 160 administrator of any correctional facility housing the perpetrator or 161 potential perpetrator of such act or threat and the nature and target of 162 the act or threat.

(h) Notwithstanding any provision of the general statutes concerning
the confidentiality of records and information, the Correction Ombuds
shall have access to, including the right to inspect and copy, any records
necessary to carry out the responsibilities of the Correction Ombuds, as

167 provided in this section. The provisions of this subsection shall not be construed to compel access to any record protected by the attorney-168 169 client privilege or attorney-work product doctrine or any record related 170 to a pending internal investigation, external criminal investigation or 171 emergency procedures. For purposes of this subsection, "emergency 172 procedures" are procedures the Department of Correction uses to 173 manage control of tools, keys and armories and concerning department 174 emergency plans, emergency response units, facility security levels and 175 standards and radio communications.

176 (i) The Correction Ombuds may issue subpoenas to compel the 177 attendance and testimony of witnesses or the production of books, 178 papers and other documents and administer oaths to witnesses in any 179 matter under investigation. The person to whom such subpoena is 180 issued may, not later than fifteen days after service of such subpoena, or 181 on or before the time specified in the subpoena for compliance if such 182 time is less than fifteen days after service, serve upon the Correction 183 Ombuds written objection to the subpoena and file such objection in the 184 superior court for the judicial district of Hartford, which shall adjudicate 185 such objection in accordance with the rules of the court. If any person to 186 whom such subpoena is issued fails to so object or appear or, having 187 appeared, refuses to give testimony or fails to produce the evidence 188 required, the Correction Ombuds may apply to the superior court for 189 the judicial district of Hartford, which shall have jurisdiction to order 190 such person to appear and give testimony or to produce such evidence, 191 as the case may be. 192 (j) In the performance of the duties provided for in this section, the

193Correction Ombuds may communicate privately with any person in the194custody of the commissioner. Such communications shall be

- 195 <u>confidential except as provided in subsections (e) and (f) of this section.</u>
- (k) (1) The Correction Ombuds may hold informal hearings and may
   request that any person appear before the Correction Ombuds or at a
- 197 <u>request that any person appear before the Correction Ombuds or at a</u>
- 198 <u>hearing</u>, and give testimony or produce documentary or other evidence

<u>that the Correction Ombuds considers relevant to a matter under</u>
 <u>investigation.</u>

201 (2) The Correction Ombuds shall arrange an appearance of a person

202 who is incarcerated in cooperation or an employee of the department

203 with the department at a time and location that does not interfere with

204 <u>the operation of a correctional facility.</u>

205 (l) The Correction Ombuds shall make available to persons who are incarcerated confidential means by which to report concerns or 206 207 otherwise submit complaints to the Correction Ombuds, which may 208 include, but need not be limited to (1) electronic means or a locked box, 209 accessible only by the Correction Ombuds and the employees of the 210 Office of the Correction Ombuds, or (2) a hotline for persons who are 211 incarcerated to communicate with said office. All measures shall be 212 taken to ensure there is no risk or credible fear of retaliation against 213 persons who are incarcerated for submitting complaints to the 214 Correction Ombuds. Submission of complaints to the Correction 215 Ombuds shall not be part of the department administrative grievance or appeal process, and the Correction Ombuds' decisions shall not 216 217 constitute agency action. Nothing in this section shall be deemed to 218 constitute part of the administrative exhaustion process. The Correction 219 Ombuds shall not require persons who are incarcerated to file 220 grievances or other inquiries as part of the department's system to be 221 considered ripe for review by the Correction Ombuds.

(m) The Office of Correction Ombuds is exempt from the provisions
 of sections 4-176e to 4-183, inclusive.

[(i)] (n) In the performance of the responsibilities provided for in this section, the Correction Ombuds may communicate privately with any person in the custody of the commissioner. Such communications shall be confidential except as provided in subsections (e) and (f) of this section.

[(j)] (o) The Correction Ombuds may apply for and accept grants, gifts

and bequests of funds from other states, federal and interstate agencies,
for the purpose of carrying out the Correction Ombuds' responsibilities.
There is established within the General Fund a Correction Ombuds
account which shall be a separate, nonlapsing account. Any funds
received under this subsection shall, upon deposit in the General Fund,
be credited to said account and may be used by the Correction Ombuds
in the performance of the Correction Ombuds' duties.

237 [(k)] (p) The name, address and other personally identifiable 238 information of a person who makes a complaint to the Correction 239 Ombuds, information obtained or generated by the Office of the 240 Correction Ombuds in the course of an investigation and all confidential 241 records obtained by the Correction Ombuds or the office shall be 242 confidential and shall not be subject to disclosure under the Freedom of 243 Information Act, as defined in section 1-200, or otherwise except as 244 provided in subsections (f) and (g) of this section.

[(l)] (<u>q</u>) No state or municipal agency shall discharge, or in any manner discriminate or retaliate against, any employee who in good faith makes a complaint to the Correction Ombuds or cooperates with the Office of the Correction Ombuds in an investigation.

[(m)] (r) Not later than December 1, 2023, and annually thereafter, the Correction Ombuds shall submit a report, in accordance with section 11-4a, to the joint standing committee of the General Assembly having cognizance of matters relating to the Department of Correction regarding the conditions of confinement in the state's correctional facilities and halfway houses. Such report shall detail the Correction Ombuds' findings and recommendations.

Sec. 3. (NEW) (*Effective from passage*) (a) For any agreement or arbitration award approved before, on or after the effective date of this section, in accordance with the provisions of sections 5-270 to 5-280, inclusive, of the general statutes, on matters appropriate to collective bargaining, as defined in said sections, where any provision in such 261 agreement or award pertaining to the disclosure of disciplinary matters 262 or alleged misconduct by a Department of Correction employee would 263 prevent the disclosure of documents required to be disclosed under the 264 provisions of the Freedom of Information Act, as defined in section 1-265 200 of the general statutes, the provisions of the Freedom of Information 266 Act shall prevail. The provisions of this subsection shall not be 267 construed to diminish a bargaining agent's access to information 268 pursuant to state law.

(b) No collective bargaining agreement or arbitration award entered
into before, on or after the effective date of this section, by the state and
any collective bargaining unit of the Department of Correction may
prohibit the disclosure of any disciplinary action based on a violation of
the administrative directives contained in the personnel file of an officer
of said division.

Sec. 4. Section 18-81nn of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2025*):

277 (a) Any correction officer who witnesses another correction officer 278 use what the witnessing correction officer objectively knows to be 279 excessive or illegal use of force shall intervene and attempt to stop such 280 other correction officer from using such force. Any correction officer 281 who fails to intervene in such an incident [may] shall be prosecuted and 282 punished in accordance with the provisions of section 53a-8 for the same 283 acts as the correction officer who used unreasonable, excessive or illegal 284 force.

(b) Any correction officer who witnesses another correction officer use what the witnessing correction officer objectively knows to be unreasonable, excessive or illegal use of force or is otherwise aware of such use of force by another correction officer shall report, as soon as is practicable, such use of force to the [witnessing correction officer's immediate supervisor. Such supervisor] warden of the facility where such use of force occurred, who shall immediately report such use of force to the [immediate supervisor of the correction officer who is reported to have used such force] <u>state police</u>. Any correction officer required to report such an incident who fails to do so may be prosecuted and punished in accordance with the provisions of sections 53a-165 to 53a-167, inclusive.

(c) The Department of Correction or any employee of the department
shall not take any retaliatory personnel action or discriminate against a
correction officer because such correction officer intervened in an
incident pursuant to subsection (a) of this section or reported an incident
pursuant to subsection (b) of this section. Such intervening or reporting
correction officer shall be protected by the provisions of section 4-61dd.

303 (d) If a correction officer is giving a formal statement about the use of 304 force or if a correction officer is the subject of a disciplinary investigation 305 in which a recording is being considered as part of a review of an 306 incident, the officer shall (1) have the right to review such recording in 307 the presence of the officer's attorney or labor representative, and (2) have the right to review recordings capturing the officer's image or voice 308 during the incident. Not later than forty-eight hours following an 309 310 officer's review of a recording under subdivision (1) of this subsection, 311 or if the officer does not review the recording, not later than ninety-six 312 hours following the recorded incident, whichever is earlier, such 313 recording shall be disclosed, upon request, to the public, subject to the 314 provisions of section 3 of this act.

315 (e) Not later than January 1, 2026, the Commissioner of Correction 316 shall develop a plan for the implementation of body-worn recording 317 equipment in correctional facilities. Not later than February 1, 2026, the 318 commissioner shall report such plan, in accordance with the provisions 319 of section 11-4a, to the joint standing committees of the General Assembly having cognizance of matters relating to public safety, 320 321 government oversight and the Department of Correction. Such plan 322 shall include recommendations for any legislation necessary to implement such plan and the department's timeline for implementation 323

### 324 <u>of such plan.</u>

(f) For purposes of this section, "use of force" means the use of
physical force or deadly physical force, as defined in section 53a-3, by a
correction officer to compel compliance by a person who is incarcerated.
"Use of force" includes, but is not limited to, the use of restraints,
chemical agents, canines, chokeholds or munitions or forceable
extraction from a cell.

331 Sec. 5. Subsection (f) of section 4-160 of the general statutes is repealed 332 and the following is substituted in lieu thereof (*Effective from passage*):

333 (f) (1) In any claim alleging malpractice against the state, a state 334 hospital or against a physician, surgeon, dentist, podiatrist, chiropractor 335 or other licensed health care provider employed by the state, the 336 attorney or pro se party filing the claim may submit a certificate of good 337 faith to the Office of the Claims Commissioner in accordance with 338 section 52-190a. If such a certificate is submitted, permission to sue the 339 state shall be deemed granted by the Claims Commissioner [(1)] (A) on 340 June 28, 2021, if the certificate has been filed with the Claims 341 Commissioner prior to June 28, 2021, or [(2)] (B) upon the filing of the 342 certificate with the Office of the Claims Commissioner, if such certificate 343 is filed on or after June 28, 2021. In lieu of filing a notice of claim 344 pursuant to section 4-147, a claimant may commence a medical 345 malpractice action against the state prior to the expiration of the 346 limitation period set forth in section 4-148 and authorization for such 347 action against the state shall be deemed granted. Any such action shall 348 be limited to medical malpractice claims only and any such action shall 349 be deemed a suit otherwise authorized by law in accordance with 350 subsection (a) of section 4-142. The provisions of this subsection shall apply to any claim alleging malpractice against the state that was timely 351 352 filed with the Claims Commissioner and remains pending with said 353 commissioner, regardless of whether such claim was filed before, on or 354 after October 1, 2019.

355	(2) In any claim involving a fatal injury suffered by a person while
356	incarcerated or an injury that resulted in a person who is incarcerated
357	suffering a permanent disability, the attorney or pro se party filing the
358	claim may submit an affidavit signed by the Correction Ombuds
359	attesting to the validity of a claim. Such affidavit shall be filed by the
360	attorney and claimant or a pro se claimant, attesting to the following, in
361	the following form: "The Office of the Correction Ombudsman has made
362	a reasonable inquiry, as permitted by section 18-81qq of the general
363	statutes, which has given rise to a good faith belief that grounds exist
364	for a suit against the state. Such inquiry includes (provide a brief
365	description of the inquiry made)." If such an affidavit is submitted,
366	permission to sue the state shall be deemed granted by the Claims
367	Commissioner.

This act shall take effect as follows and shall amend the following<br/>sections:Section 1from passage18-81jj(m)Sec. 2from passage18-81qqSec. 3from passageNew sectionSec. 4October 1, 202518-81nn

## Statement of Purpose:

from passage

Sec. 5

To (1) lengthen the term of the Correction Ombuds, (2) modify the duties and powers of the Office of the Correction Ombuds, (3) amend the budget process for the Office of the Correction Ombuds, (4) modify provisions concerning use of force in correctional facilities, (5) require the development of a plan for use of body cameras by correctional officers, and (6) permit claimants to be granted permission to sue the state in the case of certain claims by persons who are incarcerated.

4-160(f)

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]