

General Assembly

January Session, 2025

Amendment

LCO No. 9159



Offered by: SEN. FLEXER, 29<sup>th</sup> Dist.

To: Senate Bill No. 1227

File No. 126

Cal. No. 115

## "AN ACT INCREASING VARIOUS MONETARY THRESHOLDS UNDER THE STATE CODES OF ETHICS."

1 Strike everything after the enacting clause and substitute the 2 following in lieu thereof:

"Section 1. Subdivision (5) of section 1-79 of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective October*1, 2025):

(5) "Gift" means anything of value, which is directly and personally
received, unless consideration of equal or greater value is given in
return. "Gift" does not include:

9 (A) A political contribution otherwise reported as required by law or 10 a donation or payment as described in subdivision (9) or (10) of 11 subsection (b) of section 9-601a;

(B) Services provided by persons volunteering their time, if providedto aid or promote the success or defeat of any political party, any

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14	candidate or candidates for public office or the position of convention
15	delegate or town committee member or any referendum question;
16	(C) A commercially reasonable loan made on terms not more
17	favorable than loans made in the ordinary course of business;
10	
18	(D) A gift received from (i) an individual's spouse, fiancé or fiancée,
19	(ii) the parent, grandparent, brother or sister of such spouse or such
20	individual, or (iii) the child of such individual or the spouse of such
21	child;
22	(E) Goods or services (i) that are provided to a state agency or quasi-
23	public agency (I) for use on state or quasi-public agency property, or (II)
24	that support an event or the participation by a public official or state
25	employee at an event, and (ii) that facilitate state or quasi-public agency
26	action or functions. As used in this subparagraph, "state property"
27	means property owned by the state or a quasi-public agency or property
28	leased to a state agency or quasi-public agency;
29	(F) A certificate, plaque or other ceremonial award costing less than
30	[one] <u>three</u> hundred dollars;
50	[one] <u>meee</u> numered donars,
31	(G) A rebate, discount or promotional item available to the general
32	public;
33	(H) Printed or recorded informational material germane to state
34	action or functions;
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35	(I) Food or beverage or both, costing less than [fifty] one hundred
36	dollars in the aggregate per recipient in a calendar year, and consumed
37	on an occasion or occasions at which the person paying, directly or
38	indirectly, for the food or beverage, or [his] <u>such person's</u> representative,

39 is in attendance;

(J) Food or beverage or both, costing less than [fifty] <u>one hundred</u>
dollars per person and consumed at a publicly noticed legislative
reception to which all members of the General Assembly are invited and

43 which is hosted not more than once in any calendar year by a lobbyist 44 or business organization. For the purposes of such limit, (i) a reception 45 hosted by a lobbyist who is an individual shall be deemed to have also 46 been hosted by the business organization which such lobbyist owns or 47 is employed by, and (ii) a reception hosted by a business organization 48 shall be deemed to have also been hosted by all owners and employees 49 of the business organization who are lobbyists. In making the 50 calculation for the purposes of such [fifty-dollar] <u>one-hundred-dollar</u> 51 limit, the donor shall divide the amount spent on food and beverage by 52 the number of persons whom the donor reasonably expects to attend the 53 reception;

54 (K) Food or beverage or both, costing less than [fifty] one hundred 55 dollars per person and consumed at a publicly noticed reception to 56 which all members of the General Assembly from a region of the state 57 are invited and which is hosted not more than once in any calendar year 58 by a lobbyist or business organization. For the purposes of such limit, (i) 59 a reception hosted by a lobbyist who is an individual shall be deemed 60 to have also been hosted by the business organization which such 61 lobbyist owns or is employed by, and (ii) a reception hosted by a 62 business organization shall be deemed to have also been hosted by all 63 owners and employees of the business organization who are lobbyists. 64 In making the calculation for the purposes of such [fifty-dollar] one-65 hundred-dollar limit, the donor shall divide the amount spent on food 66 and beverage by the number of persons whom the donor reasonably 67 expects to attend the reception. As used in this subparagraph, "region of 68 the state" means the established geographic service area of the 69 organization hosting the reception;

(L) A gift, including, but not limited to, food or beverage or both,
provided by an individual for the celebration of a major life event,
provided any such gift provided by an individual who is not a member
of the family of the recipient does not exceed one thousand <u>five hundred</u>
dollars in value;

75 (M) Gifts costing less than [one] three hundred dollars in the

aggregate or food or beverage provided at a hospitality suite at a
meeting or conference of an interstate legislative association, by a
person who is not a registrant or is not doing business with the state of
Connecticut;

80 (N) Admission to a charitable or civic event, including food and 81 beverage provided at such event, but excluding lodging or travel 82 expenses, at which a public official or state employee participates in his 83 or her official capacity, provided such admission is provided by the 84 primary sponsoring entity;

(O) Anything of value provided by an employer of (i) a public official,
(ii) a state employee, or (iii) a spouse of a public official or state
employee, to such official, employee or spouse, provided such benefits
are customarily and ordinarily provided to others in similar
circumstances;

90 (P) Anything having a value of not more than [ten] <u>twenty</u> dollars,
91 provided the aggregate value of all things provided by a donor to a
92 recipient under this subdivision in any calendar year does not exceed
93 [fifty] <u>one hundred</u> dollars;

94 (Q) Training that is provided by a vendor for a product purchased by
95 a state or quasi-public agency that is offered to all customers of such
96 vendor;

97 (R) Travel expenses, lodging, food, beverage and other benefits 98 customarily provided by a prospective employer, when provided to a 99 student at a public institution of higher education whose employment 100 is derived from such student's status as a student at such institution, in 101 connection with bona fide employment discussions;

(S) Expenses of a public official, paid by the party committee of which
party such official is a member, for the purpose of accomplishing the
lawful purposes of the committee. As used in this subparagraph, "party
committee" has the same meaning as provided in subdivision (2) of
section 9-601 and "lawful purposes of the committee" has the same

107 meaning as provided in subsection (g) of section 9-607; or 108 (T) Travel expenses, lodging, food, beverage and other benefits 109 customarily provided in the course of employment, when provided to a 110 public member of the Investment Advisory Council established under 111 section 3-13b. 112 Sec. 2. Subsection (i) of section 1-84 of the general statutes is repealed 113 and the following is substituted in lieu thereof (*Effective October 1, 2025*): 114 (i) (1) No public official or state employee or member of the official 115 or employee's immediate family or a business with which he is 116 associated shall enter into any contract with the state, valued at [one] 117 three hundred dollars or more, other than a contract (A) of employment 118 as a state employee, (B) with the Technical Education and Career System 119 for students enrolled in a school in the system to perform services in 120 conjunction with vocational, technical, technological or postsecondary 121 education and training any such student is receiving at a school in the 122 system, subject to the review process under subdivision (2) of this 123 subsection, (C) with a public institution of higher education to support 124 a collaboration with such institution to develop and commercialize any 125 invention or discovery, or (D) pursuant to a court appointment, unless 126 the contract has been awarded through an open and public process, 127 including prior public offer and subsequent public disclosure of all 128 proposals considered and the contract awarded. In no event shall an 129 executive head of an agency, as defined in section 4-166, including a 130 commissioner of a department, or an executive head of a quasi-public 131 agency, or the executive head's immediate family or a business with 132 which he is associated enter into any contract with that agency or quasi-133 public agency. Nothing in this subsection shall be construed as applying 134 to any public official who is appointed as a member of the executive 135 branch or as a member or director of a quasi-public agency and who 136 receives no compensation other than per diem payments or 137 reimbursement for actual or necessary expenses, or both, incurred in the 138 performance of the public official's duties unless such public official has 139 authority or control over the subject matter of the contract. Any contract

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140	made in violation of this subsection shall be voidable by a court of
141	competent jurisdiction if the suit is commenced not later than one
142	hundred eighty days after the making of the contract.
143	(2) The superintendent of the Technical Education and Career System
144	shall establish an open and transparent process to review any contract
145	entered into under subparagraph (B) of subdivision (1) of this
146	subsection.
147	Sec. 3. Subsection (o) of section 1-84 of the general statutes is repealed
148	and the following is substituted in lieu thereof ( <i>Effective October 1, 2025</i> ):
149	(o) If (1) any person (A) is doing business with or seeking to do
150	business with the department or agency in which a public official or
151	state employee is employed, or (B) is engaged in activities which are
152	directly regulated by such department or agency, and (2) such person or
153	a representative of such person gives to such public official or state
154	employee anything having a value of more than [ten] twenty dollars,
155	such person or representative shall, not later than ten days thereafter,
156	give such recipient and the executive head of the recipient's department
157	or agency a written report stating the name of the donor, a description
158	of the item or items given, the value of such items and the cumulative
159	value of all items given to such recipient during that calendar year. The
160	provisions of this subsection shall not apply to a political contribution
161	otherwise reported as required by law.
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Sec. 4. Subdivision (6) of section 1-91 of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective October*1, 2025):

(6) "Expenditure" means any advance, conveyance, deposit,
distribution, transfer of funds, loan, payment, unless expressly
excluded; any payments for telephone, mailing, postage, printing and
other clerical or office services and materials; any paid communications,
costing [fifty] <u>one hundred</u> dollars or more in any calendar year,
disseminated by means of any printing, broadcasting or other medium,

171 provided such communications refer to pending administrative or 172 legislative action; any contract, agreement, promise or other obligation; any solicitation or solicitations, costing [fifty] one hundred dollars or 173 174 more in the aggregate for any calendar year, of other persons to 175 communicate with a public official or state employee for the purpose of 176 influencing any legislative or administrative act and any pledge, 177 subscription of money or anything of value. "Expenditure" does not 178 include (A) the payment of a registrant's fee pursuant to section 1-95, (B) 179 any expenditure made by any club, committee, partnership, 180 organization, business, union, association or corporation for the 181 purpose of publishing a newsletter or other release intended primarily 182 for its members, shareholders or employees, whether in written or 183 electronic form or made orally during a regularly noticed meeting, (C) 184 any expenditure made by any club, committee, partnership, 185 organization, business, union, association or corporation for the 186 purpose of transporting its members, shareholders or employees to or 187 from a specific site, where such members, shareholders or employees 188 received no other compensation or reimbursement for lobbying from 189 such club, committee, partnership, organization, business, union, 190 association or corporation, or (D) contributions, membership dues or 191 other fees paid to associations, nonstock corporations or tax-exempt 192 organizations under Section 501(c) of the Internal Revenue Code of 1986, 193 or any subsequent corresponding internal revenue code of the United 194 States, as [from time to time] amended from time to time.

Sec. 5. Subdivision (7) of section 1-91 of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective October*1, 2025):

(7) "Gift" means anything of value, which is directly and personally
received, unless consideration of equal or greater value is given in
return. "Gift" does not include:

(A) A political contribution otherwise reported as required by law or
a donation or payment described in subdivision (9) or (10) of subsection
(b) of section 9-601a;

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204	(B) Services provided by persons volunteering their time, if provided
205	to aid or promote the success or defeat of any political party, any
206	candidate or candidates for public office or the position of convention
207	delegate or town committee member or any referendum question;
208	(C) A commercially reasonable loan made on terms not more
209	favorable than loans made in the ordinary course of business;
210	(D) A gift received from (i) the individual's spouse, fiancé or fiancée,
211	(ii) the parent, grandparent, brother or sister of such spouse or such
212	individual, or (iii) the child of such individual or the spouse of such
213	child;
214	(E) Goods or services (i) that are provided to a state agency or quasi-
215	public agency (I) for use on state or quasi-public agency property, or (II)
216	that support an event or the participation by a public official or state
217	employee at an event, and (ii) that facilitate state or quasi-public agency
218	action or functions. As used in this subparagraph, "state property"
219	means property owned by the state or a quasi-public agency or property
220	leased to a state or quasi-public agency;
221	(F) A certificate, plaque or other ceremonial award costing less than
222	[one] <u>three</u> hundred dollars;
223	(G) A rebate, discount or promotional item available to the general
224	public;
225	(H) Printed or recorded informational material germane to state
226	action or functions;
227	(I) Food or beverage or both, costing less than [fifty] one hundred
228	dollars in the aggregate per recipient in a calendar year, and consumed
229	on an occasion or occasions at which the person paying, directly or
230	indirectly, for the food or beverage, or [his] <u>such person's</u> representative,
231	is in attendance;
232	(J) Food or beverage or both, costing less than [fifty] one hundred
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233 dollars per person and consumed at a publicly noticed legislative 234reception to which all members of the General Assembly are invited and 235 which is hosted not more than once in any calendar year by a lobbyist 236 or business organization. For the purposes of such limit, (i) a reception 237 hosted by a lobbyist who is an individual shall be deemed to have also 238 been hosted by the business organization which [he] <u>such lobbyist</u> owns 239 or is employed by, and (ii) a reception hosted by a business organization 240 shall be deemed to have also been hosted by all owners and employees 241 of the business organization who are lobbyists. In making the 242 calculation for the purposes of such [fifty-dollar] one-hundred-dollar 243 limit, the donor shall divide the amount spent on food and beverage by 244 the number of persons whom the donor reasonably expects to attend the 245 reception;

246 (K) Food or beverage or both, costing less than [fifty] one hundred 247 dollars per person and consumed at a publicly noticed reception to 248 which all members of the General Assembly from a region of the state 249 are invited and which is hosted not more than once in any calendar year 250 by a lobbyist or business organization. For the purposes of such limit, (i) 251 a reception hosted by a lobbyist who is an individual shall be deemed 252 to have also been hosted by the business organization which [he] such 253 lobbyist owns or is employed by, and (ii) a reception hosted by a 254 business organization shall be deemed to have also been hosted by all 255 owners and employees of the business organization who are lobbyists. 256 In making the calculation for the purposes of such [fifty-dollar] <u>one-</u> 257 hundred-dollar limit, the donor shall divide the amount spent on food 258 and beverage by the number of persons whom the donor reasonably 259 expects to attend the reception. As used in this subparagraph, "region of 260 the state" means the established geographic service area of the 261 organization hosting the reception;

(L) A gift, including, but not limited to, food or beverage or both,
provided by an individual for the celebration of a major life event,
provided any such gift provided by an individual who is not a member
of the family of the recipient does not exceed one thousand <u>five hundred</u>

266 dollars in value;

267 (M) Gifts costing less than [one] <u>three</u> hundred dollars in the 268 aggregate or food or beverage provided at a hospitality suite at a 269 meeting or conference of an interstate legislative association, by a 270 person who is not a registrant or is not doing business with the state of 271 Connecticut;

(N) Admission to a charitable or civic event, including food and
beverage provided at such event, but excluding lodging or travel
expenses, at which a public official or state employee participates in his
or her official capacity, provided such admission is provided by the
primary sponsoring entity;

(O) Anything of value provided by an employer of (i) a public official,
(ii) a state employee, or (iii) a spouse of a public official or state
employee, to such official, employee or spouse, provided such benefits
are customarily and ordinarily provided to others in similar
circumstances;

(P) Anything having a value of not more than [ten] <u>twenty</u> dollars,
provided the aggregate value of all things provided by a donor to a
recipient under this subdivision in any calendar year does not exceed
[fifty] <u>one hundred</u> dollars; or

(Q) Training that is provided by a vendor for a product purchased bya state or quasi-public agency that is offered to all customers of suchvendor.

Sec. 6. Subdivision (12) of section 1-91 of the general statutes is
repealed and the following is substituted in lieu thereof (*Effective October*1, 2025):

(12) "Lobbyist" means a person who in lobbying and in furtherance
of lobbying makes or agrees to make expenditures, or receives or agrees
to receive compensation, reimbursement, or both, and such
compensation, reimbursement or expenditures are three thousand

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296	dollars or more in any calendar year or the combined amount thereof is
297	three thousand dollars or more in any such calendar year. "Lobbyist"
298	does not include:
299	(A) A public official, employee of a branch of state government or a
300	subdivision thereof, including an official or employee of a quasi-public
301	agency, or elected or appointed official of a municipality or his or her
302	designee other than an independent contractor, who is acting within the
303	scope of his or her authority or employment;
304	(B) A publisher, owner or an employee of the press, radio or
305	television while disseminating news or editorial comment to the general
306	public in the ordinary course of business;
307	(C) An individual representing himself or herself or another person
308	before the legislature or a state agency other than for the purpose of
309	influencing legislative or administrative action;
310	(D) Any individual or employee who receives no compensation or
311	reimbursement specifically for lobbying and who limits his or her
312	activities solely to formal appearances to give testimony before public
313	sessions of committees of the General Assembly or public hearings of
314	state agencies and who, if he or she testifies, registers his or her
315	appearance in the records of such committees or agencies;
316	(E) A member of an advisory board acting within the scope of his or
317	her appointment;
318	(F) A senator or representative in Congress acting within the scope of
319	his or her office;
320	(G) Any person who receives no compensation or reimbursement
321	specifically for lobbying and who spends no more than five hours in
322	furtherance of lobbying unless such person (i) exclusive of salary,
323	receives compensation or makes expenditures, or both, of three
324	thousand dollars or more in any calendar year for lobbying or the
325	combined amount thereof is three thousand dollars or more in any such
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326	calendar year, or (ii) expends [fifty] <u>one hundred</u> dollars or more for the
327	benefit of a public official in the legislative or executive branch, a
328	member of his or her staff or immediate family;
329	(H) A communicator lobbyist who receives or agrees to receive
330	compensation, reimbursement, or both, the aggregate amount of which
331	is less than three thousand dollars from each client in any calendar year.
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332	Sec. 7. Subsection (a) of section 1-96 of the general statutes is repealed
333	and the following is substituted in lieu thereof ( <i>Effective October 1,</i> 2025):
334	(a) Each client lobbyist registrant shall file with the Office of State
335	Ethics between the first and tenth day of April, July and January a
336	financial report, signed under penalty of false statement. The April and
337	July reports shall cover its lobbying activities during the previous
338	calendar quarter and the January report shall cover its lobbying
339	activities during the previous two calendar quarters. In addition to such
340	reports, each client lobbyist registrant which attempts to influence
341	legislative action shall file, under penalty of false statement, interim
342	monthly reports of its lobbying activities for each month the General
343	Assembly is in regular session, except that no monthly report shall be
344	required for any month in which it neither expends nor agrees to expend
345	[one] three hundred dollars or more in furtherance of lobbying. Such
346	interim monthly reports shall be filed with the Office of State Ethics no
347	later than the tenth day of the month following the last day of the month
348	reported. If the client lobbyist registrant is not an individual, an
349	authorized officer or agent of the client lobbyist registrant shall sign the
350	form. A communicator lobbyist for a municipality or any subdivision of
351	a municipality shall file the reports described in this subsection utilizing
352	the client lobbyist reporting schedule.
353	Sec. 8. Subsection (e) of section 1-96 of the general statutes is repealed

- and the following is substituted in lieu thereof (*Effective October 1, 2025*):
- (e) Each client lobbyist registrant financial report shall be on a formprescribed by the board and shall state expenditures made and the

357 fundamental terms of contracts, agreements or promises to pay 358 compensation or reimbursement or to make expenditures in furtherance 359 of lobbying. Any such fundamental terms shall be reported once in the 360 monthly, quarterly or post-termination report next following the 361 entering into of such contract. Such financial report shall include an 362 itemized statement of each expenditure of [ten] twenty dollars or more 363 per person for each occasion made by the reporting registrant or a group 364 of registrants which includes the reporting registrant for the benefit of a 365 public official in the legislative or executive branch, a member of his or 366 <u>her</u> staff or immediate family, itemized by date, beneficiary, amount and 367 circumstances of the transaction. The requirement of an itemized 368 statement shall not apply to an expenditure made by a reporting 369 registrant or a group of registrants which includes the reporting 370 registrant for (1) the benefit of the members of the General Assembly at 371 an event that is a reception to which all such members are invited or all 372 members of a region of the state, as such term is used in subparagraph 373 (K) of subdivision (7) of section 1-91, as amended by this act, are invited, 374 unless the expenditure is [thirty] <u>sixty</u> dollars or more per person, or (2) 375 benefits personally and directly received by a public official or state 376 employee at a charitable or civic event at which the public official or 377 state employee participates in his or her official capacity, unless the 378 expenditure is [thirty] sixty dollars or more per person, per event. If the 379 compensation is required to be reported for an individual whose 380 lobbying is incidental to his or her regular employment, it shall be 381 sufficient to report a prorated amount based on the value of the time 382 devoted to lobbying. On the first financial report following registration 383 each client lobbyist registrant shall include any expenditures incident to 384 lobbying activities that were received or expended prior to registration 385 and not previously reported to the Office of State Ethics.

- Sec. 9. Subsection (g) of section 1-96 of the general statutes is repealed
  and the following is substituted in lieu thereof (*Effective October 1, 2025*):
- (g) Each former registrant shall (1) report receipts or expenditures
  incident to lobbying activities during his <u>or her</u> period of registration

SB 1227 Amendment 390 which are received or expended following termination of registration 391 and (2) report each expenditure of [ten] twenty dollars or more per 392 person for each occasion made by [him] such registrant for the benefit 393 of a public official or a member of such official's immediate family or 394 staff which occurs within six months after termination of registration. 395 Sec. 10. Subsection (a) of section 1-96a of the general statutes is 396 repealed and the following is substituted in lieu thereof (*Effective October* 397 1, 2025): 398 (a) Each registrant shall obtain and preserve all accounts, bills, 399 receipts and other documents necessary to substantiate the financial 400 reports required by section 1-96, as amended by this act, for a period of 401 three years from the date of the filing of the report referring to such 402 financial matters, provided this section shall apply to each expenditure 403 for the benefit of a public official of [ten] twenty dollars or more and all

404 other expenditures of [fifty] <u>one hundred</u> dollars or more.

405 Sec. 11. Section 1-96e of the general statutes is repealed and the 406 following is substituted in lieu thereof (*Effective October 1, 2025*):

Each registrant who pays or reimburses a public official or state employee [ten] <u>twenty</u> dollars or more for necessary expenses, as defined in section 1-79, <u>as amended by this act</u>, shall, within forty-five days, file a statement with the Office of State Ethics indicating the name of such individual and the amount of the expenses."

This act shall take effect as follows and shall amend the following sections:					
Section 1	October 1, 2025	1-79(5)			
Sec. 2	October 1, 2025	1-84(i)			
Sec. 3	October 1, 2025	1-84(o)			
Sec. 4	October 1, 2025	1-91(6)			
Sec. 5	October 1, 2025	1-91(7)			
Sec. 6	October 1, 2025	1-91(12)			
Sec. 7	October 1, 2025	1-96(a)			
Sec. 8	October 1, 2025	1-96(e)			

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Sec. 9	October 1, 2025	1-96(g)	
Sec. 10	October 1, 2025	1-96a(a)	
Sec. 11	October 1, 2025	1-96e	